

PRESERVING OREGON GRANT

2023 GUIDELINES

STATE HISTORIC PRESERVATION OFFICE, OREGON HERITAGE

Oregon Parks and Recreation Department is committed to valuing and serving everyone by actively working to provide safe and equitable access to state parks and agency programs. To this end, the department will not tolerate racism, harassment, discrimination, or intimidation in any form.

Preserving Oregon Grant Programs funds are to be used for:

- rehabilitation work on properties listed in the National Register of Historic Places, or
- significant work contributing to identifying, preserving and/or interpreting archaeological sites.

Grants must be submitted through the Oregon Parks and Recreation Department Grants Online program. There will be two grant cycles in the 2023-2025 biennium. A Letter of Intent to apply and a project review or site visit is required to apply for the Preserving Oregon Grant.

FINANCIAL INFORMATION: Grant funds may be awarded for amounts up to \$20,000.

MATCH: Grants must be matched 1:1 by the grantee. Match can be in the form of cash, in-kind donations, and volunteer time. Match can be in the form of cash, in-kind donations and volunteer time. Rates for volunteer services may not exceed Oregon minimum wage, except in those instances in which the volunteer is using their professional skills for the grant assisted work. All match must be documented before or within the final grant reimbursement request.

BUDGET INFORMATION: Bids and estimates strengthen the grant request when applicable. If awarded the grant requires at least three estimates for work over \$10,000.

PRESERVATION REQUIREMENTS: The application must convey that all work will be completed using appropriate preservation practices, for example, the Secretary of the Interior's Standards for Rehabilitation of Historic Properties, SHPO regulations for archaeological work, etc.

GRANT REPORTING AND PAYMENT: Awarded projects will be the subjects of binding agreements between the State and the applicants. Typically, grant funds are dispersed on a reimbursable basis. Details of payment arrangements and grant reporting will be provided at the time of award. 10% of grant funds will be withheld until grant related work is complete and match requirements are met.

GRANT TIMELINE:

Letter of Intent Deadline – (11:59pm) April 11, 2023

Deadline – (11:59pm) May 8, 2023

Project start with signed agreement – July 1, 2023

Project completion and Reporting deadline – April 30, 2024

HISTORIC PROPERTIES GRANTS ELIGIBILITY:

- Properties must be listed on the National Register of Historic Places, either individually or as a "contributing" property in a Historic District, to qualify for funding.



- Commercial or depreciable properties that are taking advantage of the federal investment tax credit cannot include these grant dollars in the expenses for the tax credit.
- Interior work will be considered on a case-by-case basis depending on the significance of the interior and its public value.
- All work must meet the Secretary of the Interior's Standards for Rehabilitation of Historic Properties; see <http://www.nps.gov/history/hps/tps/tax/rhb/stand.htm>. Examples of eligible projects include: roof and gutter repair, foundation work, structural stabilization, replacement of deteriorated historic features, and weatherization.
- Remodeling, new additions, or solely cosmetic efforts are not eligible for funding.

ARCHAEOLOGY GRANTS ELIGIBILITY:

Field-based research projects concerning the documentation of archaeological sites, structures, and artifacts are eligible, including:

- conservation and stabilization of specific archaeological sites and monuments;
- field or experimental testing of new or developing methods and materials for identification or conservation;
- development, testing or implementation of heritage management plans;
- programs promoting public awareness of and education about the value and fragility of cultural resources;
- developing and/or implementing innovative techniques in dating, monitoring, analysis, remote sensing of and predicting locations for archeological sites and artifacts;
- projects that discover or study previously untold perspectives and histories of people frequently excluded from historic interpretation;
- conducting surveys and preparing contexts of archaeological sites
- documenting archaeological resources at risk; and
- preparing National Register nominations of archaeological sites.

APPLICATION PROCESS

GRANT ANNOUNCEMENT: The grant application will be announced through various media outlets. Grant application information and online system instructions can be found at <https://www.oregon.gov/oprd/OH/Pages/Grants.aspx>.

REGISTRATION FOR THE ONLINE APPLICATION SYSTEM: To access the application, register at oprdgrants.org. If you already have an account, then request through Kuri.Gill@oregon.gov or 503-986-0685 to add this grant option to your account. If you have used the system for this program before, there is no need to register. Log in using your email address and your password. See online registration and application instructions for detailed directions to use the online grant system. <https://www.oregon.gov/oprd/OH/Pages/Grants.aspx#one>

The application must be complete and submitted online by the grant application deadline.

LETTER OF INTENT: A letter of intent is required prior to applying for the Preserving Oregon Grant. Once you have an account, start a Preserving Oregon Grant application. You will be prompted to fill out a short work description. Provide the property address and the work to be completed. This is only a very short description, just one-two sentences. Submit the letter of intent.

Staff will review the letter of intent. You will be contacted if there are any questions or if a site visit is required. Once staff has approved the letter of intent, OPRD Grants Online will notify you with an email. Please check your spam or junk folder if you do not see a response within a few days.

You may not submit an application unless you have submitted a letter of intent prior to the letter of intent deadline.

APPLICATION SUBMISSION: Once your letter of intent is approved, you are eligible to apply you. At that time you will be able to create and submit your application. You can enter information, save, log out, log back in, enter more information, etc. as many times as you would like before you submit. Instructions for OPRD Grants Online visit our website.

http://www.oregon.gov/oprd/HCD/FINASST/Pages/grants.aspx#Preserving_Oregon

APPLICATION QUESTIONS: The following items will be required on the online application. Be sure to use the [OPRD GrantsOnline instructions](#) as you enter the information.

- Contact Information
 - Applicant – Enter the organization
 - Project Contact – This is the person we contact to discuss details of the project. If you are submitting the application, but you will not be the project contact, the project contact must have an account in the system to be entered. Please request an account or use the online registration to create one.
 - Address
 - Reimbursement Contact – This is the person who we contact to handle the financial side, may organization have a person managing the books who is not the project

contact. The reimbursement contact must have an account in the system to be entered. Please request an account or use the online registration to create one.

- Project Information
 - Project title – make a clear, short project title. (ex. Jones Building Façade Restoration)
 - Brief Project Description – please keep this brief and specific. Only state what the project is, not why it is important. 80 space limit.
 - Project start date
 - Project end date
 - Site name – if the building has a name and address
 - Site city/town/area
 - Site county
 - Site description - provide a short description of the building(s) and the setting of the project.
 - Site acreage – enter ‘1’
 - Latitude & Longitude – use the ‘find lat/long’ button fill this field.
- Financial Information
 - Requested amount
 - Match amount
 - Total amount
 - Budget grouped by category
 - Contractor/Consultant
 - Staff time
 - Volunteer time
 - Materials & equipment
 - Printing, publication & design
 - Travel
 - Other (specify)
 - Show source of funding using categories like, volunteer time, in-kind donations, staff time, organizational cash, donations, grants.
 - Budget must include both expenses and income sources of funding.
 - Rates for volunteer services may not exceed Oregon minimum wage, except in those instances in which the volunteer is using their professional skills for the grant assisted work. For example, if a carpenter or bookkeeper donates carpentry or bookkeeping services to the project, these services may be valued at the hourly rate the carpenter or bookkeeper would normally charge.
 - Contingency is not allowed to be funded by grant dollars and cannot be considered part of the required match amount.
 - Grant administration is limited to 6% of the project cost.
- Supplemental
 - Provide the name of the property owner and indicate if the owner is the applicant (attach a letter of approval from the property owner, if it is not the applicant).
 - Property address and, if applicable, name.
 - Statewide Plan Goals
 - Oregon Heritage Plan Goals – Select all goals of the [Oregon Heritage Plan](#) that this project addresses.

- Include More Voices – including the history documented, preserved and shared and including more voices in doing the work.
- Increase Access to Heritage – including online access, physical access, removing barriers, etc.
- Promote the Value of Heritage – including public engagement projects.
- Pursue Best Practices – including work that increases organizational capacity and follows standards, supports staff and volunteer care and training, planning and partner development, diversity and inclusion efforts, etc.
- Oregon Preservation Plan Issues – Select all issues from the [Oregon Preservation Plan](#) that this project addresses.
 - Government Partnerships
 - Advocacy and Heritage Partner Networking
 - Public Outreach and Education
 - Professional Preservation Education
 - Information Sharing and Accessibility
 - Identification and Designation of Cultural Resources
 - Preservation, Rehabilitation, and Protection of Cultural Resources
 - Grants and Funding (not including use of this grant)
 - Economic Development
 - Statutes, Ordinances, Codes, and Processes
- Historic Property Project
 - Project Need
 - Describe need in terms of the condition of the property.
 - Describe the historical significance of the property.
 - Describe the value of the property to the community.
 - Project Value
 - Describe how the project will address the needs of the property.
 - Project Goals
 - Describe the project’s goals. Explain the MEASURABLE products or outcomes of the work. This may include needs to meet, making a building usable, making a building stable, standards to be met, etc.
 - Project Detail
 - Describe the work that will be completed.
 - Select all areas on the building impacted by the project.
 - Roof – repair, replace, not applicable
 - Structure – repair, replace, stabilize, not applicable
 - Façade – repair, replace, restore, not applicable
 - Windows/doors – repair or replace, not applicable
 - Siding – repoint, repair, or replace, not applicable
 - Other
 - Will original materials be removed? If yes, what materials and what are the replacement materials?
 - How the work will be completed? Describe methods to be used, who will do it and their qualifications, i.e. general contractor, sub-contractors, architects, skilled volunteers, etc.

- Explain how the work will follow the Secretary of the Interior’s Standards for Rehabilitation.
<http://www.nps.gov/tps/standards/rehabilitation/rehab/stand.htm>.
 - Describe how the preservation project will be monitored and evaluated.
 - Archaeology Project
 - Project Need
 - Describe need in terms of the condition and risk of the site.
 - Describe the historical significance of the project area.
 - Describe the lack of knowledge or the need of methods to be practiced.
 - Describe any other needs related to the project.
 - Project Value
 - Describe value in terms of the benefit of new information, preservation of the site, the value of the method or study to the field.
 - Describe how it addresses the above need.
 - Project Goals
 - Describe the project’s goals. Explain the MEASURABLE products or outcomes of the work. This may include standards to be met, methods to be tested, etc.
 - Project Detail
 - Provide details about the execution of the project (when, where, how, etc.)
 - Provide information about the professionalism in how the work will be performed.
 - Describe the project director's experience related to this or similar projects. List any “experts” - their qualifications and how they will be involved.
 - Describe how the archaeological project will be monitored and evaluated.
 - Project Timeline - List your major tasks and approximate dates they will be completed.
 - Ability to Complete the Project
 - Describe your organization's history and past successes related to this project.
 - Share any partnerships that support this project.
 - Explain volunteer and community support for this project.
 - Provide any other evidence of your ability to complete the project.
 - Organizational Impact
 - Explain how this project fits into organizational plans (strategic, interpretive, collection, outreach, etc.). Why is this project a priority for the organization at this time?
 - How will this move the organization forward?
 - Budget Narrative - Provide information about the appropriateness of the estimate (research, bids, qualified personnel, etc.) Describe other sources of funding (such as other grants) and indicate whether it is committed or not.
 - Use of grant funds – Specifically explain what parts of the project the grant will fund.
- Attachments:
 - Required:

- Budget detail (this can be whatever format you chose, but should show expense detail)
- Property photos (Historic properties - 1 street view with surrounding properties, 1 of just the building alone; Archaeology – site setting)
- Project area photos – For the building detail, note with arrows where work will be done. For historic photos note with arrows what details will be restored based on the photo.
- Historic photos (if available)
- Construction drawing/restoration/work plan – should include methods and materials
- Vicinity map – can be a google map of the general area of the project
- Other:
 - Estimates
 - Letter of commitment (property owner, business owner)
 - Letter of support (Main Street organization, city, etc) – This letter to discuss specific goal. Please do not submit letters that just state it is a good project.
 - Technical information
- Submission
 - Double check your application.
 - Be sure to click submit, you will receive confirmation of the submission

GRANT REVIEW AND AWARD PROCESS

BEST PRACTICES: Plans, policies, and procedures help organizations align their operations and help staff and volunteers make informed and consistent decisions in support of their mission. Review the list of best practice methods below. Successful applications tend to come from organizations that implement some of these practices. Consider the plans your organization has established and how your proposed grant project fits into those plans. If you have implemented this and they relate to your project be sure to reference them in the grant narrative. If your organization would like assistance in any of the areas listed below, free support is available. Please contact heritage.mentorcorps@oregon.gov.

- Mission & Goals
- Long Range/Strategic Plans
- Collections Policy and Training
- Emergency Preparedness Plan
- Diversity, Equity & Inclusion Statement, Training, and Policy

GRANT REVIEW AND SELECTION: Following the grant deadline the application will be reviewed by staff to make sure it is complete. You will be contacted if the application needs any technical revision. Once applications pass the technical review, a committee will review and score them using the following criteria and priorities. The recommendations are considered and the final selection is made by the Oregon Deputy State Historic Preservation Officer.

CRITERIA FOR PRESERVATION PROJECTS:

- How significant the work is to the structure's integrity.
- The level of preservation of character-defining features.
- How well the work plan and cost estimates are developed and described.
- How well the project is shown to meet the Secretary of the Interior's Standards for Rehabilitation of Historic Properties.
- Historic significance of the property.

PRIORITIES FOR PRESERVATION PROJECTS:

- Higher priority will be given to publicly owned resources and private nonprofit resources, and properties that offer the greatest public benefit through visual access and interpretive/educational value.
- Properties that are not publicly owned or nonprofit-owned must have exceptional significance and/or extraordinary public benefit to compete.
- Properties associated with untold perspectives and histories of people frequently excluded from historic interpretation.
- Geographic distribution.
- Additional evaluation will be based on demonstrated project readiness and the matching dollar share in-hand commitment.

CRITERIA FOR ARCHAEOLOGY PROJECTS:

- The significance of the contribution to the field of archaeology.
- The demonstration of technically sound methodology.
- Includes a principal investigator who is a professional archaeologist.

- Disseminate project results effectively
- Historic significance of the property.
- Is cost effective given the scope of work and the audience.
- The capability of the applicant to carry out the proposed project.

PRIORITIES FOR ARCHAEOLOGY PROJECTS:

- Projects that discover or study previously untold perspectives and histories of people frequently excluded from historic interpretation.
- Projects with a well-prioritized, clearly explained work plan with an appropriate level of detail and realistic cost estimates.
- Geographic diversity.
- Project readiness, including matching dollar share in-hand.
- Shows that it is part of a larger project, or has far reaching results and uses beyond the submission of the product to SHPO.

GRANT AWARD: The grant award will be made by the State Advisory Committee on Historic Preservation. Applicants will be notified following the award decision.

GRANT AGREEMENT: Shortly after you are notified that you have been awarded a grant, you will receive a Grant Agreement. By signing the Grant Agreement, you attest acceptance of a state grant for the purposes outlined in your grant application and those outlined in the Agreement. This manual is considered to be part of your Grant Agreement. Some of the items in the Agreement include:

1. An approved Project Budget with line items specifying project expenses covered by grant funds and specific project expenses covered by the applicant match.
2. Grant starting date and grant completion & final report date.
3. Special conditions for the project.
4. Specific language for the acknowledgement of public funds provided by the State of Oregon.
5. Requirements for submitting reports and reimbursement requests.
6. Requirements for inspections and audits.

For hard copy agreements, wet signature is required. Please sign both copies of the agreement and return both copies. We will sign both and return one fully executed copy to you.

For e-signature agreements we will need the email and name of the person with authority to sign. These will be sent through Adobe Sign by email for secure e-signature.

AMENDMENTS TO THE AGREEMENT:

You may not, without prior written approval from us, make changes that would substantively alter the scope of work stipulated in the Agreement, or make any changes that authorized the award of the grant. The contract was with the assumption that the work would be completed as agreed. If an issue arises that you think may require an amendment, contact us immediately.

Any design, materials, and methods changes should be submitted to ensure the work meets the required standards for reimbursement. Submit requests for changes as a progress report in [OPRD GrantsOnline](#) staff will be notified there is a report to review. Be sure to submit and not just save the progress report.

GRANT MANAGEMENT, REPORTING, AND REIMBURSEMENT

GRANT MANAGEMENT:

Because the Grant Agreement involves the use of public funds from the State of Oregon, you are expected to follow certain requirements for their use. These requirements will affect consultant or contractor selection, public notices, work plans, progress reports and billings, project photographs, final reports and billings, and recordkeeping.

Consultants/Contractors

Evidence of competition for direct negotiated professional services of under \$10,000 is not mandatory.

Services and materials that cost \$10,000 to \$75,000 must show evidence of competition, including soliciting proposals from at least three potential contractors. We must approve your selection prior to the consultant or contractor being hired. Please check the references of any contractor you review. To obtain approval please send the following to the Grants Coordinator:

- How contractor was solicited;
- Summary of experience and qualifications; and
- Why contractor was selected (references, quality, previous work, time frame, cost, etc.)

Work Plans

Work plans must be approved by the State Historic Preservation Office before work begins. For approval submit the following to the Grants Coordinator:

If no changes have been made to the plan...

- An email indicating that the plan remains as proposed in the application

If the plan is different than the one proposed in the application...

- Before photographs
- Plan drawings
- Work description including materials, tools and processes to be used
- Timeline

Staff Time

Documentation of staff time for grant funded work and for matching source work is required. Documentation must include the person, the tasks, hours, and rate for each employee.

Volunteer Time

Documentation of volunteer time for matching source work is required. Volunteer work may not be reimbursed by the grant. The volunteer rate is Oregon minimum wage. If a volunteer is using professional skills, the professional rate must be used. A document from that volunteer indicating the rate is required for each submission. Documentation must include the person, the tasks, hours, and rate for each employee.

Public Notice Requirement

It is in your best interest to spread the news about the grant award and the project progress. Upon grant award, at key project points and upon completion you should do the following.

- Send a news release to local media

- Inform your members and interested parties
- Post on social media
- Inform your public officials (local, state and federal)
- Have celebratory and/or informational events (construction tour, ribbon cutting, etc.)

During the life of the project, place a sign in a prominent and readable location on your site, stating: “This project has been funded in part by the State Historic Preservation Office, Oregon Parks and Recreation Department.” A simple temporary sign is acceptable; call the grant coordinator for suggestions. Include a photograph of the sign in place with your final report. Publications, documents, and publicity about this project should also include this funding credit.

You may be asked to contribute to the [Oregon Heritage Exchange](#) blog following project completion.

Photographs

Before, during and after photographs are required for the interim and final report reports that request reimbursement. Photos are required in a digital format (300dpi or higher, jpeg or tiff).

GRANT REPORTING:

Reports are submitted through [OPRD GrantsOnline](#). Reports are submitted as a progress report through [OPRD GrantsOnline](#). See Reporting through [OPRD GrantsOnline](#) and Using the OPRD Grant Application & Reporting System sections of the [Oregon Heritage Grants webpage](#) for instructions and videos.

Interim reports: Grant reports are required once during the grant cycle. You will be sent a report reminder to submit it. Reports are submitted through [OPRD GrantsOnline](#). Reports must include the current status of the project and summary of work completed, a timeline for completion, and any issues or challenges. Submit supporting attachments like photographs, professional review, inspection results, etc. Oregon Heritage staff will review and approve reports. A site visit may be required for approval. You will be notified if a site visit must be scheduled.

Reports are submitted through [OPRD GrantsOnline](#) as a progress report. Reports must include:

- Start and end dates for the reporting period.
- The current status of the project and summary of work completed and work remaining, a timeline for completion, and any issues or challenges.
- Submit supporting attachments like photographs of work in progress and people doing the work, inspection results, etc. Any appropriate evidence that work was completed.
- Estimated amount of grant funds expected in the next grant period.

Additional resources for reporting:

- [Grant reporting and reimbursement checklist](#)
- [OPRD Grants Online Reporting and Reimbursement Instructions](#)
- [How to submit a progress report](#) – Video Tutorial

Oregon Heritage staff will review and approve reports. A site visit may be required for approval, but this is rare with strong documentation submitted. You will be notified if a site visit must be scheduled. A report is required to submit a reimbursement request.

Final report: A final report is required within 45 days of completing the project or by the final deadline on your grant agreement, whichever is first. Reports are submitted through [OPRD Grants Online](#) as a progress report, check the box 'Final Report'. See Reporting through [OPRD GrantsOnline](#) and Using the OPRD Grant Application & Reporting System sections of the [Oregon Heritage Grants webpage](#) for instructions and videos. Reports must include a summary of work completed and any changes to the original plan. Submit additional documentation including:

- Photographs before, during and after the project is complete (300 dpi or higher).
- Preservation Projects – detailed descriptions of work completed, photos of project in setting, detailed photos of work area, materials list, etc.
- Archaeology Projects – summary of project results, formal reports submitted to SHPO, photos of projects and public events, materials created, impact to the field, etc.
- Contractor specifications and invoice, inspection report, and other evidence of work completed.
- **Grant Evaluation and Project Impact Form (Appendix A) required** – This information is used to improve our grant processes and services and to measure the value of our grant programs. Please complete this survey [online](#).

Additional resources for reporting:

- [Grant reporting and reimbursement checklist](#)
- [OPRD Grants Online Reporting and Reimbursement Instructions](#)
- [How to submit a progress report](#) – Video Tutorial

Oregon Heritage staff will review and approve reports. A site visit may be required for approval. You will be notified if a site visit must be scheduled.

GRANT REIMBURSEMENT: Grant funds are dispersed on a reimbursable basis. A project report and a reimbursement request are required to receive a reimbursement. Both must be submitted through [OPRD GrantsOnline](#). See Reporting through [OPRD GrantsOnline](#) and Using the OPRD Grant Application & Reporting System sections of the [Oregon Heritage Grants webpage](#) for instructions and videos.

The reimbursement request must include expense information for the period the request covers, including costs covered by match.

Enter project expenses in the project expense section. Include all costs for the project, including match source funded expenses and volunteer time. Only the match amount must be provided, not the matching source. Expenses may be grouped by expense category:

- Contractor/Consultant
- Staff time
- Volunteer time
- Materials & equipment
- Printing, publication & design
- Travel
- Other (specify)

Submit the following attachments.

- Copies of invoices or receipts for expenses over \$500
- Itemized list of expenses under \$500
- Volunteer time tracking
- Staff time tracking
- Evidence of in-kind donation value
- DO NOT submit canceled checks as proof of payment. Only proof of expense is required.

Additional assistance for reimbursement:

- See OPRD Grants Online Reporting & Reimbursement Instructions <https://www.oregon.gov/oprd/OH/Documents/OPRDOOnlineGrantReimbursementReportingInstructions.pdf> for a detailed guided to completing your report online.
- See Grant Reporting and Reimbursement Checklist for additional guidance. <https://www.oregon.gov/oprd/OH/Documents/ReportingReimbursementChecklist.pdf>
- [How to submit a reimbursement request](#) – video tutorial

Interim reimbursements may be requested as work is completed. Match requirements should be met with each request. Full reimbursement is allowed when work is complete and the match requirements are met. OPRD may withhold up to 10% of the award amount until that time.

PAYMENT: Once complete progress reports and reimbursement requests are submitted, they will be reviewed by OPRD staff – program staff and finance staff. Reimbursements are in the form of a check. State agencies and universities are required to submit an invoice for electronic funds transfer. The process takes 2-4 weeks.

AUDITS: A field or desk audit may be made after completion of the project to verify your expenditures. The auditor will contact you several days in advance to arrange a convenient time if a field audit is necessary. To expedite this audit, all supporting documents should be readily available.

RECORD KEEPING: Keep records of your grant management for five years. For details about accounting procedures see Appendix B.

ASSISTANCE:

For grant questions, [OPRD GrantsOnline](#) system questions, translation, and accessibility needs contact Kuri Gill, kuri.gill@oprd.oregon.gov, 503-986-0685.

APPENDIX A

Oregon Heritage Grant Evaluation and Impact Form

Thank you for completing this form (please use the online form). This is valuable information we use to improve our programs and document their value. Please provide additional details using the comment boxes. Please complete this form [online](#).

| | Extremely | Good | Fair | Poor | Not At All |
|---|-----------|------|------|------|------------|
| How manageable was the grant application? | | | | | |
| Comments: | | | | | |
| How manageable was the grant reporting? | | | | | |
| Comments: | | | | | |
| How manageable was the reimbursement process? | | | | | |
| Comments: | | | | | |
| How helpful were the online grant instructions and videos? | | | | | |
| Comments: | | | | | |
| How important was our staff expertise in the success of your project? | | | | | |
| Comments: | | | | | |
| How responsive was our staff in assisting you? | | | | | |
| Comments: | | | | | |

Project Impact Form

| | | |
|--|--|-----|
| In three sentences or less, what was the impact of the grant funds? | | |
| | No | Yes |
| Did the grant directly affect your ability to complete the project? If yes, how? | | |
| Comments: | | |
| Select the Oregon Heritage Plan Goal that your project most addressed and explain how it did that. | <ol style="list-style-type: none"> 1. Include more voices 2. Increase access to heritage 3. Promote the value of heritage 4. Pursue best practices | |

| | |
|--|---|
| Comments: | |
| Select the Oregon Historic Preservation Plan Issue that your project most addresses and explain how it did that. | <ol style="list-style-type: none"> 1. Government Partnerships 2. Advocacy and Heritage Partner Networking 3. Public Outreach and Education 4. Professional Preservation Education 5. Information Sharing and Accessibility 6. Identification and Designation of Cultural Resources 7. Preservation, Rehabilitation, and Protection of Cultural Resources 8. Grants & Funding 9. Economic Development 10. Statutes, Ordinances, Codes, and Processes |
| Comments: | |

APPENDIX B

RECOMMENDED ACCOUNTING PROCEDURES

In any program where reimbursement is requested for a portion of project costs, or matching share is claimed, adequate records are essential. There should be definite supporting evidence for EACH item of cost claimed, estimates are not sufficient. While these may not be required for reporting purposes, they are recommended for your record keeping in case of audit. The procedures below may not apply in every case, so look through them and identify and use those that are relevant to your project.

Contact Kuri Gill at Kuri.Gill@oregon.gov, or phone 503-986-0685 if you have questions.

In order to promote a better understanding of the records required and to avoid the possibility of having costs disallowed at the time of audit, the following accounting procedures are suggested:

- Establish a separate account for each agreement project.
- Maintain the account so that it includes the project name, and lists payment for salaries and wages, contracts, equipment, materials and supplies, and other items that conform to categories listed on the grant reimbursement request.
- Each entry in the account must be cross-referenced to a voucher, payroll invoice or other supporting document. Each payment must be supported by a cancelled check or warrant, available in the grantee's records in the event of an audit. DO NOT SUBMIT CANCELED CHECKS.

The following paragraphs provide you information about the specific budget categories:

Professional Contractor or Consultant

Proper contractor or consultant selection procedures must be followed and proper documentation maintained for audit, or contract costs are subject to disallowal.

Payments made by the grantee should be supported by a statement or invoice from the consultant. The consultant hours do not need to be broken down if contracted in a lump sum, since the consultant agreement should state a total contracted amount.

Payments made include fee for service, salary, per diem, payment for the travel, or other allowable services.

Employees

When a staff member is involved in a grant project, payrolls should be signed by an authorized person and wages paid should be supported by time and task tracking records for each employee. Records (daily, weekly, or monthly) must show total daily hours for each project and description of work performed. Fringe benefit rates should be developed using actual costs, and individual components should be identified. Documentation should show how rate or cost was derived. This amount must be traceable through the grantee's records.

Travel

State guidelines require that all mileage rates do not exceed the maximum state rate, which is generally the same as the standard Internal Revenue Service rate. The reimbursement request must show the name of the individual, time of departure and return, dates, mileage (odometer readings or locations),

meals claimed, and purpose. A copy of lodging receipts for overnight travel must be maintained. Contact Kuri Gill at Kuri.Gill@opr.oregon.gov or (503)986-0685 for current rates.

Materials and Equipment

Value and Use of Owned Equipment

Equipment value (for owned equipment) should be supported by time records and by a schedule of hourly rates developed from actual historical costs, or in the event no previous cost data exists, from reasonable estimates of such factors as useful life, annual hours or use, insurance premiums, etc. These estimated rates must be adjusted each year based on the previous year's actual costs.

Equipment Rental (Outside)

Payments made by the grantee for equipment rented for specific project area are generally allowable under state regulations. Payments made by the grantee must be supported by a statement or invoice from the vendor that indicates the time period the equipment was rented.

Materials and Supplies

Supplies and materials charged to the project must be supported by supplier's invoices or receipts. The original or a photocopy of the cancelled checks must be available for a field audit.

Printing, Publication Design, etc.

If you make, design or print a publication as part of your project, include those costs here. The costs can only be paid if a statement recognizing the grant contribution is included.

Value of Contributed Goods and Services

Valuation of In Kind Contributions from Third Parties

The value of labor, materials, equipment usage, etc., donated by sources other than the grantee may be included on the Payment Request Form only if documented. Documentation can be a sheet with work done and hours worked recorded and signed by the project manager and the volunteer.

a. Valuation of volunteer service

- Volunteer services may be furnished by professional and technical personnel, consultants, and other skilled and unskilled labor. Volunteered services may be counted as matching share if they are a necessary part of the project.
- Rates for volunteer services may not exceed Oregon minimum wage, except in those instances in which the volunteer is using their professional skills for the grant assisted work. For example, if a carpenter or bookkeeper donates carpentry or bookkeeping services to the project, these services may be valued at the hourly rate the carpenter or bookkeeper would normally charge.

In such cases, the donor must sign a brief statement that notes the donor's usual occupation, intent to donate their usual services, and the date. These statements are then submitted with the reimbursement request.

b. Donated Materials Invoices, where applicable, must be marked "donated" and signed, and submitted with the reimbursement request.

c. Donated Equipment Invoices, where applicable, must be marked "donated" and signed, and submitted with the reimbursement request.

APPENDIX C

OREGON ADMINISTRATIVE RULE PRESERVING OREGON GRANTS

[736-057-0100](#)

Preserving Oregon Grant Types

Two subcategories exist in the Preserving Oregon Grant Program.

(1) A grantee may use a Preserving Oregon Grants for:

- (a) Rehabilitation work on properties listed in the National Register of Historic Places, or
- (b) Significant work contributing to identifying, preserving, or interpreting archaeological sites, or any combination thereof.

(2) A grantee may use a Diamonds in the Rough Grants for restoration or reconstruction of the facades of buildings that have lost historic character.

Statutory/Other Authority: ORS 390.124 & ORS 358.617

Statutes/Other Implemented: ORS 358.475, ORS 358.605 & ORS 358.612 (2) and (12)

History:

[PRD 3-2020, adopt filed 02/21/2020, effective 02/21/2020](#)

[736-057-0110](#)

Eligible Preserving Oregon Grant Program Projects

(1) In order to be eligible for a Preserving Oregon Grant, projects must:

- (a) Be listed on the National Register of Historic Places; or
- (b) Be field-based research projects concerning the documentation, study, designation, or preservation of archaeological sites, structures, and artifacts are eligible; and
- (c) All work must meet the Secretary of the Interior's Standards for Treatment of Historic Properties.
- (d) Remodeling, new additions and solely cosmetic efforts are not eligible.

(2) In order to be eligible for a Diamonds in the Rough Grant, projects must:

- (a) Be a structure 50 years of age or older; and
- (b) Have lost historic character or no longer conveys historic associations; and
- (c) All work must meet the Secretary of the Interior's Standards for Treatment of Historic Properties.

Statutory/Other Authority: ORS 390.124 & ORS 358.617

Statutes/Other Implemented: ORS 358.475, ORS 358.605 & ORS 358.612 (2) and (12)

History:

[PRD 3-2020, adopt filed 02/21/2020, effective 02/21/2020](#)

[736-057-0120](#)

Preserving Oregon Grant Program Application Procedure and Process

(1) The department shall announce through a variety of media the availability of, procedures for, deadlines, and other information for applying for a grant from the Preserving Oregon Grants Program.

(2) To apply for a grant under OAR 736-057-0100, an applicant must submit their application in a format prescribed by the department by the specified deadline.

(3) Applications for historic property projects of the Preserving Oregon Grant must demonstrate the following:

- (a) The proposed project is significant to the structure's integrity,
- (b) The proposed project preserves character defining features,
- (c) The proposed project will meet the Secretary of the Interior's Standards for Rehabilitation of Historic Properties,
- (d) The applicant is capable of carrying out the proposed project, and
- (e) The proposed property is historically significant.

(4) Applications for archaeology projects of the Preserving Oregon Grant must demonstrate the following:

- (a) The proposed project is significant to the field of archaeology,
- (b) The proposed project demonstrates technically sound methodology,
- (c) The proposed project includes a principle investigator who is a professional archaeologist,
- (d) The proposed project disseminates results effectively,
- (e) The site of the proposed projects is historically significant, and
- (f) The applicant is capable of carrying out the proposed project.

(5) Applications for Diamonds in the Rough grants of the Preserving Oregon Grant programs must demonstrate the following:

- (a) The level of preservation of character-defining features,
- (b) That the applicant has a well-developed work plan, a description of the work, and the corresponding cost estimates,
- (c) That the project is ready and the applicant has the matching dollar share in-hand commitment, and
- (d) That there is a community need for and benefit from the project.

Statutory/Other Authority: ORS 390.124 & ORS 358.617

Statutes/Other Implemented: ORS 358.475, ORS 358.605 & ORS 358.612 (2) and (12)

History:

[PRD 3-2020, adopt filed 02/21/2020, effective 02/21/2020](#)

[736-057-0130](#)

Evaluation of Preserving Oregon Grant Program Applications

- (1) The department shall provide review criteria in grant guidelines or manual and application for each new grant cycle.
- (2) The department shall evaluate applications that it receives by the announced deadlines.
- (3) The department will establish a Grant Review Committee to review grant applications and provide recommendations for funding to the State Advisory Committee on Historic Preservation. The committee may include representatives of the State Advisory Committee on Historic Preservation, historic preservation specialists or other appropriate experts.
- (4) The Grant Review Committee shall score the applications based on the evaluation criteria and then rank applications in order of priority based on a formula considering:

(a) For properties listed in the National Register of Historic Places projects of the Preserving Oregon Grant:

(A) Giving higher priority to publicly owned resources and private nonprofit resources, and properties that offer the greatest public benefit through visual access and interpretive or educational value;

(B) Properties that are not publicly owned or nonprofit-owned must have exceptional significance or extraordinary public benefit to compete;

(C) Geographic distribution; and

(D) Additional evaluation will be based on demonstrated project readiness and the matching dollar share in-hand commitment.

(b) For archaeology projects of the Preserving Oregon Grant:

(A) Projects that discover or study previously untold perspectives and histories of people frequently excluded from historic interpretation;

(B) Projects with a well-prioritized, clearly explained work plan with an appropriate level of detail and realistic cost estimates;

(C) Geographic diversity;

(D) Project readiness, including matching dollar share in-hand; and

(E) Shows that it is part of a larger project or has far reaching results and uses beyond the submission of the product to the State Historic Preservation Office .

(c) For the Diamonds in the Rough Grant of the Preserving Oregon Grant Program:

(A) Prioritize commercial or public buildings in Certified Local Government communities, designated Main Street areas, or local or National Register historic districts;

(B) Projects with a well-prioritized, clearly explained work plan with an appropriate level of detail and realistic cost estimates;

(C) Projects that will generate dramatic "before-and-after" results, a high level of transformation;

(D) Geographic diversity; and

(E) Project readiness, including matching dollar share in-hand.

Statutory/Other Authority: ORS 390.124 & ORS 358.617

Statutes/Other Implemented: ORS 358.475, ORS 358.605 & ORS 358.612 (2) and (12)

History:

[PRD 3-2020, adopt filed 02/21/2020, effective 02/21/2020](#)

[736-057-0140](#)

Award of Preserving Oregon Grant Program Grants

(1) The State Advisory Committee on Historic Preservation shall award the grants.

(2) The department will establish maximum and minimum grant award limitations. The department will publish award limitations in grant guidelines or manual and application for each new grant cycle.

(3) All grant awards will be subject to binding grant agreements between the department and grantee.

(4) Grant agreements shall specify the terms and conditions of the grant award, generally including:

(a) The total project costs, the match or share grantee is to provide, and the amount of the grant;

(b) A statement of work grantee is to accomplish;

(c) The products grantee is to deliver;

- (d) A timeline that details when the grant-assisted project may begin, a schedule for accomplishing work, and deadlines for delivering products and completing the project;
- (e) The process and schedule for grantee to submit reimbursement requests for grant funds distribution;
- (f) The measures of project impact at project completion; and
- (g) The requirement that grantee comply with applicable local, state, and federal law and obtain all necessary permits.

Statutory/Other Authority: ORS 390.124 & ORS 358.617

Statutes/Other Implemented: ORS 358.475, ORS 358.605 & ORS 358.612 (2) and (12)

History:

[PRD 3-2020, adopt filed 02/21/2020, effective 02/21/2020](#)

[736-057-0150](#)

Disbursement of Preserving Oregon Grant Program Grant Funds

- (1) The department will distribute grant funds to grantees on a reimbursable basis after grantee submits billings on approved schedules specified in grant agreements.
- (2) When requested by the department, grantees shall supply additional information to substantiate billings.
- (3) The department may disburse grant funds in advance if grantees can demonstrate a compelling need.

Statutory/Other Authority: ORS 390.124 & ORS 358.617

Statutes/Other Implemented: ORS 358.475, ORS 358.605 & ORS 358.612 (2) and (12)

History:

[PRD 3-2020, adopt filed 02/21/2020, effective 02/21/2020](#)

[736-057-0160](#)

Suspension or Termination of Agreement and Recovery of Preserving Oregon Grant Program Grant Funds

- (1) The department shall send a notice of suspension or termination of grant agreement by registered letter to grantee at address listed in the agreement. The notice shall include recourse (if any) for grantee to remedy project deficiencies.
- (2) The department shall require a grantees that has received funds in advance but is unable to complete approved projects to the department's satisfaction or within the three-year timeframe, to return all unexpended grant funds.
- (3) A grantee shall maintain records adequate for audit purposes for a period of not less than five years after project completion.
- (4) A grantee shall reimburse the department for any costs disqualified through audit findings.

Statutory/Other Authority: ORS 390.124 & ORS 358.617

Statutes/Other Implemented: ORS 358.475, ORS 358.605 & ORS 358.612 (2) and (12)

History:

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