Health Information Technology Oversight Council

Webinar-Governance

February 26, 2010

2-3pm
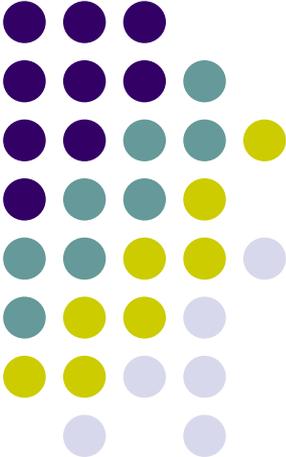
AGENDA
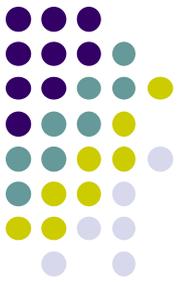
2:00- 2:05   Review Agenda and Proposed Outcomes

2:05- 2:10   Outcomes and Webinar Process

2:10-2:20   Activities to Date

2:20-2:45   HITOC Strategic Workgroup Meeting Synopsis
- Naming Conventions
- Governance Models
- Workgroup Input
- Discussion

2:50-3:00   Next Steps and Resources
- HITOC and Strategic Workgroup Meetings
- April Webinars
- HITOC website

Outcomes and Process

- Outcomes
    - Update regarding work in progress and opportunities
    - Governance Working Model update
    - Awareness of next steps

- Process
    - Start on time and end on time
    - Q and A process overview
    - Follow-up
    - Additional feedback
    - Next Webinar April 2

Updates

- Updates
  - HITOC meetings in progress
  - Strategic Workgroup meetings in progress
  - Stakeholder Engagement ongoing
  - Cooperative Agreement Award
  - REC Award
  - Medicaid HIT Planning
  - Draft Plans released for review in the late spring, due to ONC July 2010

Governance

Drilling Down –
HIE Strategic Workgroup Discussion

Naming Conventions: Proposed Working Names
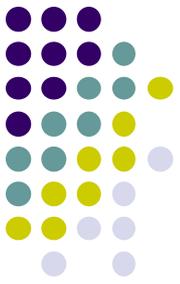
- Terminology was developed in 2008 through a collaborative process by the National Alliance for Health Information Technology and authorized by the Office of the National Coordinator for Health IT.

  www.nahit.org/images/pdfs/HITTermsFinalReport_051508.pdf.

- **Health Information Exchange (HIE)** – the electronic movement of health-related information among organizations according to nationally recognized standards.

- **Health Information Organization (HIO)** – an organization that oversees and governs the exchange of health-related information among organizations according to nationally recognized standards.

HITOC Working Model for Long-Term HIE Governance in Oregon – Discussion to date
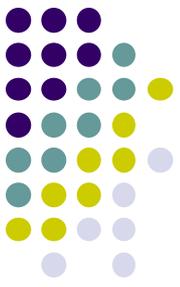
- The Oregon health information exchange organization to be created as a true "Public / Private" Partnership
  - Serve in a convening and coordinating role for HIE operations
  - Initially set the "rules of the road" for community/regional HIE operations
    - Sets policy for standards and requirements for statewide exchange
      - Meaningful use
      - Public health reporting / population health monitoring
      - Quality improvement
- Collaborates with and receives strategic direction from HITOC and the HIT Coordinator to address policy, regulatory, accountability issues
- Non-Profit organization with public and private board representation

The Current Governance Organization for HIE - HITOC

ONC Requirements for State HIE Governance Body	HITOC (Currently)	
	Yes	No
Public stakeholders represented	✓	
Private stakeholders represented	✓	
Representation of a broad array of stakeholders	✓	
State Medicaid agency has a role	✓	
Adopted strategic plan for statewide HIE		✓
Operational plan for statewide HIE approved and implementation begun		✓
Organizational meetings posted and open to public	✓	
Regional HIO initiatives have designated role		✓

# Working Draft Governance Model 1

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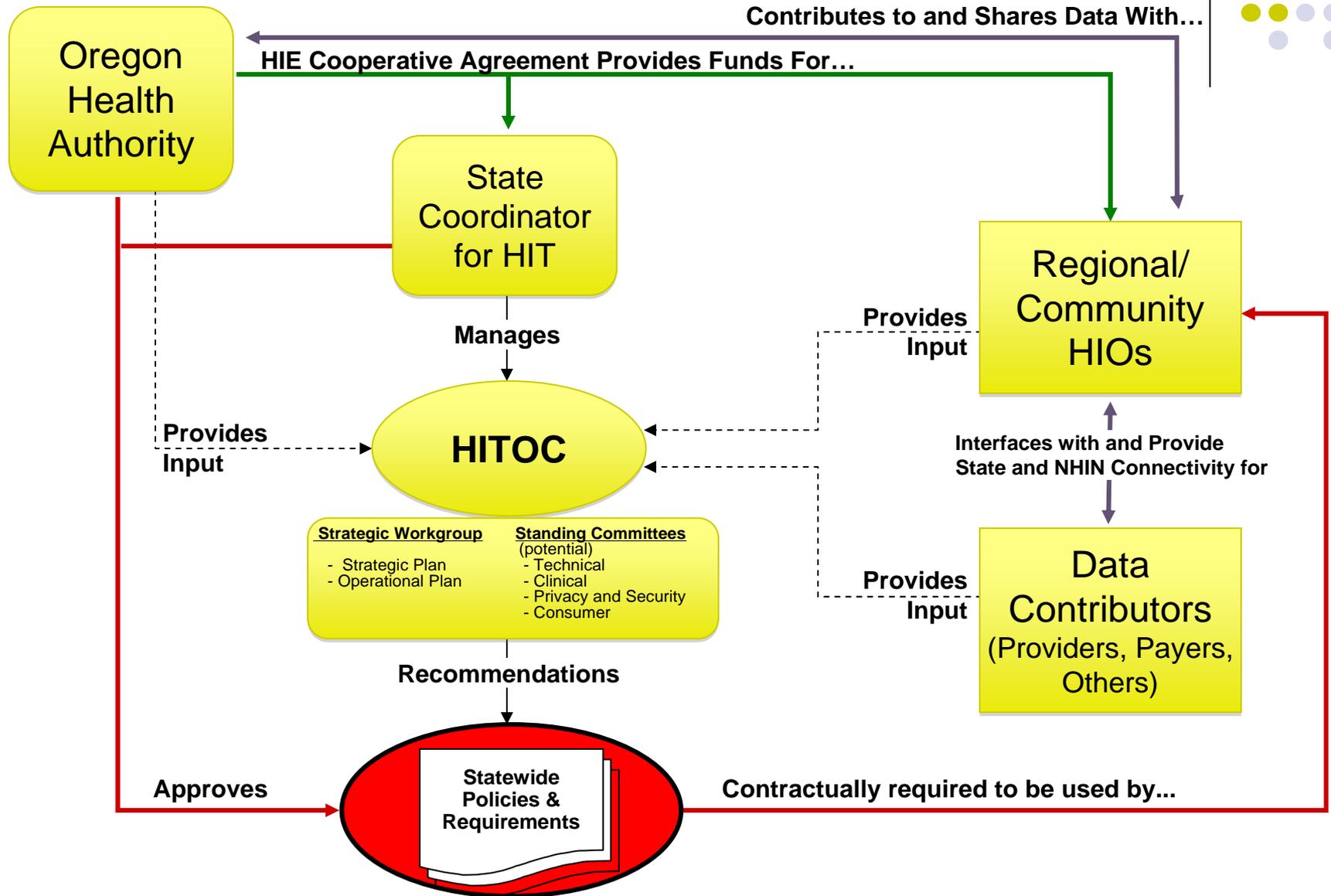
Working Draft Governance Model 1: Discussion
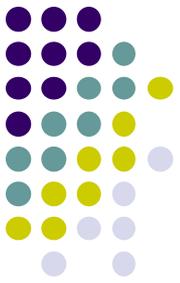
- HITOC, upon approval of OHA, sets policy and data requirements for Regional/Community HIOs
  - Standards for data exchange, privacy and security, operations all determined by HITOC
  - Contract with HIOs directly to assure alignment with HIE strategic and operational plans, MU requirements, and state govt. public health reporting
    - Contracts with HIOs form primary accountability mechanism
- Regional/Community HIOs responsible for:
  - Statewide HIE Coverage (i.e. connectivity to all providers in all regions – potentially incented for through contract with OHA)
  - Demonstrated operations and connectivity to State and NHIN
  - Requirements for Business and Operations, Sustainability, Local Governance, Privacy and Security individually required of HIOs

Working Draft Governance Model 2

Oregon Health Authority

Contributes to and Shares Data With…

HIE Cooperative Agreement Provides Funds For…

State Coordinator for HIT

Non-Profit Statewide HIO

Provides Input

Manages

Certification / Accreditation, Requirements, HIO Operations / Contracting

HITOC

Provides Input

Regulatory / Contract requirements…

Strategic Workgroup
- Strategic Plan
- Operational Plan

Standing Committees
(potential)
- Technical
- Clinical
- Privacy and Security
- Consumer

Provides Input

Regional / Community HIOs

Recommendations

Interfaces with and Provide State and NHIN Connectivity for

Approves

Statewide policies

Provides Input

Data Contributors
(Providers, Payers, Others)

Working Draft Governance Model 2: Discussion
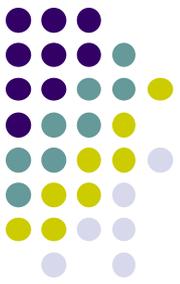
- HITOC, upon approval of OHA, sets policies for statewide HIE
  - HITOC sets minimum requirements for HIE (MU, State Reporting, National Reporting)
  - Contract with Statewide HIO to implement state HIE Polices (Statewide HIO accountable for statewide HIE technical coverage)
- Statewide HIO responsible for:
  - Convenes and coordinates Regional/Community HIOs
    - Determine requirements to meet statewide standards
    - Architecture, P&S, Operations, Financing etc…
  - Statewide HIE Coverage
    - Internal HIE operations (to cover gaps not addressed by regional HIOs)
    - Regional/Community HIO compliance (through accreditation/certification and/or contractual relationships)
- Regional/Community HIOs responsible for:
  - Demonstrated operations and connectivity to State and NHIN
  - Local governance convening and coordination among stakeholders

Issues Discussed by the Workgroup in Regard to the Straw Models
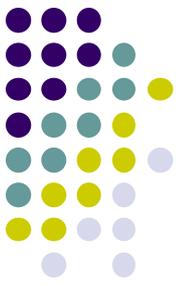
- How to stage appropriate development / evolution
  - What governance structure makes sense in the short term - 1-2 years (Model 1, 2, or other)
  - What governance structure makes sense for the long – term years 3 on (Model 1, 2, or other)
- Accountability locus and mechanisms (development and enforcement)
  - State regulatory role for standards/requirements: heavy or light
    - Ensuring State and Public good needs are met
    - Ensuring MU can be achieved by qualifying providers
- Addressing the gaps in coverage
  - Entities responsible for coverage of gaps
  - Level/type of state support of regional HIOs (financial / technical assistance)
- Other issues to be defined through other domains planning
  - Financing: Implications for use of ONC funding, development of other financing sources
  - Technology architecture implications (Build new, Connect nodes, hybrid)

Workgroup Outcome: Governance Input
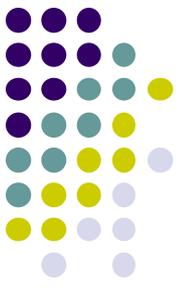
- Phase 1: The state to develop and set HIE policies, requirements, standards and agreements through the existing HITOC and OHA mechanisms (MODEL #1)
  - Potentially revisit the HITOC composition to assure that membership reflects the goals and the stakeholder mix necessary to enable statewide HIE and assure all interested parties have a place at the table
- Phase 2: Establish non-profit Statewide HIO (MODEL #2 – "Light")
  - Use non-profit as a central contracting point for providers for data use and business associate agreements with Regional/Community HIOs and data providers
  - If necessary, the statewide HIO to develop "light" operational capacities such as provider and patient authentication/look-up capacities, reporting, etc.

- ***Phase 3: If Necessary*** (i.e. if Regional/Community HIOs are not able to cover gaps in statewide HIE Coverage) the Statewide HIO will develop "heavier" operations to provide clinical and administrative HIE supports that cover geographic gaps in HIE coverage.

- No consensus was determined for the timing due to additional information needed from future Workgroup domain discussions

Next Steps

Upcoming Webinar Dates:

April 2

April 30

Information needs or to provide input:

HITOC.Info@state.or.us

503-373-7859

Resources: HITOC website

http://www.oregon.gov/OHPPR/HITOC/index.shtml