# 9. Reimbursement Requests

Chapter Updated February 2021

The OPTIS system provides an electronic format for requesting reimbursement for authorized expenses.

## **Reimbursement Requests and Periodic Reporting**

When an OPTIS reimbursement request (RR) is submitted, OPTIS confirms that an Agency Periodic Report (APR) for the time period of the expense is on file. If the APR is not on file, in most cases, the following error message will display when you try to submit. It will show which APR(s) need to be completed:

OP	TIS			Close	8
Revi	iew/Ap	prove	Contra		
Numb	er: 19211	13963	Contre	of #: 10091293	
Review/Approve informs the user whether or not the document has met the minimum requirements needed in order to complete the current step. For most issues, the failure reason will also be a link t the area that needs to be modified. Not all issues will have a link.				requirements so be a link to	
(	Once the is completed	ssue has been deal issues will then dis	t with, you can click the 'Refresh' button to refresh the pag appear.	ge. Any	
Curren	t Step:	Issue		Refresh	
Integr	ity Check	r Failed			
• Mis	sing provid	ler's periodic repor	t: 2019 - 2021 Biennium Quarter 2: October 1 to December	31	

Agencies have 45 days after the end of the quarter to submit an APR, but payment(s) cannot be made for expenses incurred during a particular period without an APR on file for that period. If you attempt to submit a reimbursement request before the APR is complete, you will get an error message. An exception is made for vehicle purchase(s), since often those requests are large and PTD understands the need to be reimbursed soon after taking delivery.

## **Supporting Documentation**

Additional required documents, such as invoices or capital purchase documents, need to be attached to the request. Documentation for each project type varies. Contact PTD directly for further clarification.

Attaching documents to your reimbursement request in OPTIS is the preferred method for providing supplemental documentation. This ensures that everything required for the regional transit coordinator (RTC) to approve your payment is in one central place and associated with the exact document it pertains to. To attach documents to your RR you must be able to scan documents and save them to your local computer. These documents are then located and

attached during the creation of your RR.

If you do not have access to a scanner, supporting documents should be sent to PTD in another format, such as by mail or fax. You must put a note in the "Comments" section of the RR to explain how supporting documents are being sent. Details are included in the following instructions.

### **Creating a Reimbursement Request**

As with other processes in OPTIS, there are several ways to progress through the various screens while creating your request. You may prefer to use the navigation menu, the wizard, or a combination of both, since some fields are auto-generated and do not need any action on your part. Follow the steps below the first few times you create a RR and as your comfort level and understanding of the system increase, change them to suit yourself.

1. Log in to OPTIS.

-

2. Select *Create Documents* and then *Create Reimbursement Request* from the Navigation Menu or Create Documents screen.



3. Select the correct biennium for this request. Click Next.

Test) To see a short list of the sub-grant original	possible sub-grants to select for reimb	oursement, choose the biennium where			
First select the correct biennium (Then click here)					
Number	Title	Fiscal Period			
1 🔿 2019/2021-9	19-21 Biennium (FY2020-21)	2019 - 2021 Biennium			
2 🔿 2017/2019-8	17-19 Biennium (FY2018-19)	2017 - 2019 Biennium			
3 🔘 2015/2017-7	15-17 Biennium (FY2016-17)	2015 - 2017 Biennium			
4 🔿 2013/2015-6	13-15 Biennium (FY2014-15)	2013 - 2015 Biennium			
5 🔘 2011/2013-5	11-13 Biennium (FY2012-13)	2011 - 2013 Biennium			
6 🔿 2009/2011-4	09-11 Biennium (FY2010-11)	2009 - 2011 Biennium			

4. Select correct agreement for this request. Click Next.

Create Reimbursement Request (Test)						
∢	Back					
?	Select option button next to agreement # to request reimbursement. An agreement with no option button is unexecuted or closed, and may not be selected. A check mark indicates reimbursement has been made against the agreement in the past. An x indicates reimbursement requests must					
Select Only agreement available for re			nents with active radio buttons are Next			
	Number	Version	Title			
2019	9/2021					
1 🗸	77889	1	2017-2020 R4 5339 Peanuts Transit 77889			
2	31460	2	2019-21 R4 STF Peanuts Transit 33470			

5. The Additional Information page appears with a summary of the new RR. Click *Create* to create the document and assign a form number (this will later become the document number). Click *Continue* to open the wizard and begin entering details. Or, you may click *View* to review the document just created.

Create Document (Test)		Click here to open the wizard Continue
Reimbursemen	t Request Created	
Form Number:	192113963	
Control #:	10091293	
The initial docum leave the set up	ent has been created. at anytime. Use the My	To continue setting up the document click on 'Continue'. You can Documents searching functions to find the form again by the

form number listed above and continue with set up and publication.

(View) To bypass the creation wizard and go directly to the review page click on the View button.

#### 6. Number 1 of Wizard - Number

This is an information only screen showing the OPTIS assigned document number. Click *Next*.

1. Number (Test)	$\checkmark$
Number: 192113963	Control #: 10091293
Steps	Skip Back Save Next Finish
1. Number	
2. Information	Number
3. Comments	$\bigcirc$ The document number is the reference number for the document/form
4. Select Activity	
5. Attachments	The number cannot be changed.

#### 7. Number 2 of Wizard – Information

"Document Date" – Auto-filled with today's date, leave as is; "Title" – Auto-filled from title of sub-grant agreement, leave as is; "Reimbursement Quarter" – Select the correct quarter and the year from the drop down menus. Click *Next*.

2. Information (Test)		
Number: 192113963	Control #: 100912	93
Steps	Skip         Back         Save         Next         Finish	C
1. Number	To farmer the o	
2. Information	Information	
3. Comments	Document Date * 12/22/2020	
4. Select Activity	· · · · · · · · · · · · · · · · · · ·	
5. Attachments	Title * 2017-2020 R4 5339 Peanuts Transit 77889	
	Reimbursement Quarter *	
	this sub-grant agreement? October - December Select the correct quarter and	
	* indicates a required field January - March April - June year from the drop down menus	p

**Note:** If this is the last RR for this agreement, check the "Is this the last request for this sub-grant agreement?" box to notify PTD staff.

2. Information	
Number: 192113963	Control #: 1009129
Steps	Skip Back Save Next Finish
1. Number	T
2. Information	Information
3. Comments	Document Date * 12/22/2020
4. Select Activity	· · · · · · · · · · · · · · · · · · ·
5. Attachments	Title * 2017-2020 R4 5339 Peanuts Transit 77889
	Reimbursement Quarter * 🔽 🗸
	Is this the last request for this sub-grant agreement?
	* indicates a required field notify PTD staff

#### 8. Number 3 of Wizard – Comments (optional)

Enter any comments you have regarding this request (e.g., clarifying information which PTD may need to process this payment). May be left blank. Click *Next*.

3. Comments (Test)	
Number: 192113963	Control #: 10091293
Steps	Skip Back Save Next Finish
1. Number	
2. Information	Comments
3. Comments	Our supporting documentation has been faxed to PTD - [Sign with your initials]
4. Select Activity	
5. Attachments	Optional Step

#### 9. Number 4 of Wizard – *Select Activity*

Select the correct activity (e.g., vehicle purchase, operations, administration) for this request. Depending on the agreement there may be more than one choice. Click *Next*.

(Test) Number: 192113963		Control #: 10091293
Steps	Skip Back Save	Next Finish
1. Number	Colort Activity	
2. Information	Select Activity	
3. Comments	Select the activity for which you are seeking reimbursement.	
4. Select Activity	S	Colort any available
5. Attachments	<ul> <li>2017-2020 R4 5339 Peanuts Transit 77889</li> </ul>	items you are requesting
	5339 Peanuts Transit 77889	reimbursment for
	5339 Peanuts Transit 77889	
I	11.13.03 Bus 30ft : Funded: \$8,000.00 / Balance: \$8,000.00	

**Note:** The original funded amount and the remaining amount available for each activity is listed next to each item.

#### 10. Number 5 of Wizard - Attachments

Supplemental documentation is required under the terms of most agreements. If the agreement for which you are creating a reimbursement requires back-up documentation, here is where you will add it. If you have not already, scan back-up documentation and save it to your computer in a place you will easily find it. Should you need to navigate away from OPTIS, make sure to take note of the OPTIS document number (located at the top left of the screen) so you can easily find the RR when you return.

Attach documentation as follows at the Step 5. Attachments screen:

A. Type in the name of the document in the "Attachment Title" field (e.g., Vehicle Purchase Invoice).

5. Attachments (Test)				
Number: 192113963				Control #: 10091293
Steps	Skip	Back	Save	Next Finish
1. Number				
2. Information	Attachments	$\sim$		
3. Comments	Attachment Title		File	Chasse File Ver Sille shares
4. Select Activity				Choose File No Ille chosen
5. Attachments	Attachment Title		File	Choose File No file chosen

B. Tab to or click *Choose File* to search your local computer for the required attachment.

5. Attachments (Test)			
Number: 192113963			Control #: 10091293
Steps	Skip	Back Save	Next Finish
1. Number			<u>^</u>
2. Information	Attachments		14
3. Comments	Attachment Title	Buy America File	Choose File No. 51 Lo. shores
4. Select Activity			Choose File No Tite chosen
5. Attachments	Attachment Title	File	Choose File No file chosen

C. Select *Open* or double click the document to attach it to the RR.

Den Open			$\times$
← → • ↑ 🖡	« RR Documentation > Reimbursment Request Documents	✓ Č , Sear	ch Reimbursment Requ
Organize 🔻 New	folder		::: • 🔟 ?
	^ Name	Date modified	Туре
3D Objects	🛃 Buy America.pdf	12/22/2020 12:56 PM	Adobe Acrobat Doc
Desktop	🛃 Pre Award.pdf	12/21/2020 4:16 PM	Adobe Acrobat Doc
Downloads	🚖 Signed Letter for Vehicle.pdf	12/21/2020 5:03 PM	Adobe Acrobat Doc
👌 Music			
📰 My Pictures	v <		>
	File name: Pre Award.pdf	✓ All files (*	*) ~
		Oper	Cancel

D. Repeat steps A-C for multiple attachments.

5. Attachments (Test)									
Number: 192113963								Contro	<b>  #:</b> 10091293
Steps	Skip	Back		Sa	ve	Nex	t	$\subset$	Finish
1. Number									
2. Information	Attachments								
3. Comments	Attachment Title		Buy Americ	a	File	Choose File	No file	chosen	Pre
4. Select Activity						Award.pdf			
5. Attachments						li 🔁 🗔 (	29.09 kB)		
	Attachment Title		Letter		File	Choose File	No file	chosen	
						Signed Lette	er for Vehi 33.32 kB)	cle.pdf	
	Attachment Title		Pre Award		File	Choose File	No file	chosen	Pre
						Award.pdf	29.09 kB)		
	Attachment Title				File	Choose File	No file	chosen	

**Note:** If you have more than six attachments, after attaching the sixth one, click *Save* and more attachment fields will open.

E. Click *Finish* to complete the wizard.

5. Attachments (Test)			Δ.
Number: 192113963			Control #: 10091293
Steps	Skip	Back Save	Next Finish
1. Number	Attachmente		
2. Information	Attachments		
3. Comments	Attachment Title	Buy America File	Choose File No file chosen Pre
4. Select Activity			Award.pdf
5. Attachments			і ТА 🗠 (29.09 kB)

If you are unable to attach documents electronically, complete the following steps: i. Return to Wizard Step 3. Comments by clicking on the left hand navigation screen or click the *Back* button twice. ii. In the "Comments" box, indicate that documentation is being submitted comparately and how PTD should expect it (o.g., via fax, mail, or to

separately and how PTD should expect it (e.g., via fax, mail, or to <u>ODOTPTDReporting@odot.state.or.us</u>).

iii. Click *Finish* to save and continue to Step 11.

- 11. Verify all details of the RR (Reimbursement Invoice and Request Form). Make changes if necessary by selecting *Maintain* from the Navigation Menu on the left side of the screen and opening the correct step of the Wizard to make the change. Save any changes.
- 12. For each activity item of the RR, select *Record Expense*.

View PDF	[	ODOT Public Transportation Division					
0		Reimbursement Inve	oice and Request Form				
Refresh     Refresh     Help     Complete Step     (Issue)     Maintain     Actions	Recipien Peanuts T 555 13th Salem, O Salem, Ol Contact: Phone: Fax: Email: Fiscal Pe	t ransit St NE Ste 3 R R 97301 Charlie Brown 1 (541) 1234567 1 charlie.brown@odot.state.or.TEST <b>riod:</b> FY2020 Qtr.2: October - December 2019	Document No.: Process Date: Effective Date: Execution Date: FEIN: TEAMS Id: ODOT Agreement: Version:	192113963 07/01/2019 12/21/2020 123456789 CV123456789 77889 1			
View Data	🔄 Attach	iments Exist					
	Item: 11	.13.03 - Bus 30ft	Activity	Budget:	\$10,000.00		
			Subgrant Conti	ribution:	\$8,000.00		
			Grante	e Match:	\$2,000.00		
		Record Expense	Reimbursement Rec	quested:	\$0.00		
	Item: 11	.7A.00 - Preventive Maintenance	Activity	Budget:	\$10,000.00		
			Subgrant Conti	ribution:	\$8,000.00		
			Grante	e Match:	\$2,000.00		
			Reimbursement Rec	quested:	\$0.00		
		Record Expense	Mat	ch Expense			
		Total Reim	bursement Reques	ted:	\$0.00		

13. At the Record Expense screen complete each of the following:

A. "Type" – Choose from drop-down menu.

Record Expenses (Test)			
Record the expenses, entering the receiption	ipt total.		
Item: 11.13.03 - Bus 30ft			
Expens	ies i	* Match Ratio = Expec	ted Match
Expenses:	\$0	20%	\$0
Expected Match: -	¢O	Entered Match: -	\$0
Reimbursement Requested: =	\$0	Match Remaining: =	\$0
I			
Type		Description	
Select type			
Salaries and Benefits		T	
Training		*	
Travel		*	
Advertising and Marketing			
Outreach and Public Involvement		*	
Utilities		*	
Dues and Subscriptions			
Fuel, Oil, Tires and Other Supplies			
Vehicle Maintenance			
Building and Facility Maintenance			
Contracted Transportation Services			
Consultant and Professional Fees			
Indirect Expenses (per approved rate of	or plan)		
Vehicle(s) Furchase			
Equipment Construction			
STIF Projects			
Other (please enter description)			

- B. "Description" Type in expense description (e.g., Q1 Operations).
- C. "Receipt" If attaching backup documentation click this box; if not, disregard.
- D. "Date" –Type in the last day of the quarter in which this payment falls in (e.g., 09/30/2021). For capital items only—type in that date the asset was received or completed. (This must match a date within the reimbursement period chosen in Wizard Step 2 or you will get an error message.)
- E. "Total Expenses" Type the total project expense amount (not the payment amount) using whole numbers.
- F. Click *Submit/Return*. If you have a long list of expenses, you may prefer to click *Submit/Remain* between each of them. Your work will be saved and you will remain in this window.

Record Expenses

? Record the expenses, entering the receipt total.

Item: 11.13.03 - Bus 30ft					
		Expenses	* Match Ratio	=	Expected Match
Expenses:		\$10,000	20%		\$2,000
Expected Match:	-	\$2,000	Entered Match:	-	\$0
Reimbursement Requeste	d: =	\$8,000	Match Remaining	=	\$2,000

		(Subr	mit/Return Submit/Remain
Туре	Description	Receipt Date	Total Expenses
Vehicle(s) Purchase	Van *	* 12/22/2020	* 10,000
Select type	✓ *		) 🗞 *
Select type	✓ *		) 🗞 *

14. Click on *Match Expense.* (If you have chosen multiple expense types, you will have to match the expense for each one. This example shows only one.)

[		ODOT Public Tra	nsportation Division		
l	Re	imbursement Inv	voice and Request Form		
Recipien Peanuts T 555 13th	t iransit St NE Ste 3		Document No.: Process Date:	1921139	63
Salem , O Salem, O	R R 97301		Execution Date: FEIN:	12/21/20	)20 89
Contact: Phone: Fax: Email:	Charlie Brown 1 (541) 1234567 1 charlie.brown@odot.stat	te.or.TEST	TEAMS Id: ODOT Agreement: Version:	CV12345 77889 1	6789
Commen Our su	<b>ts:</b> pporting documentation has	been faxed to PTD	) - [Sign with your initials]		
Fiscal Pe	riod: FY2020 Qtr.2: October	r - December 2019			
🖻 Attach	iments Exist				
Item: 11	.13.03 - Bus 30ft		Activity	/ Budget:	\$10,000.00
			Subgrant Cont Grante	tribution: ee Match:	\$8,000.00 \$2,000.00
	Expense Type • Vehicle(s) Purchase	<b>Description</b> Van	Re	<b>ceipt</b> N	Expense Amoun \$10,000,00
				Total:	\$10,000.00
			Match Source	e	Match Amoun
			Local State Funds		\$0.0( \$0.0
			Other	Total:	\$0.0
			Reimhursement De	auested.	\$8,000.00
	Record Expense	$\supset$		tch Expense	)

15. At the Expense Match screen, enter match amount in the correct source box (e.g., Local, State Funds, or Other). Key in "Other" source if necessary. Click *Submit/Return*.

## Match Source

② Enter the source for matching funds.

	Expenses	* Match Ratio	=	Expected Match
Expenses:	\$10,000	20%		\$2,000
Expected Match: -	\$2,000	Entered Match:	-	\$2,000
Reimbursement Requested: =	\$8,000	Match Remaining:	=	\$0
latch Source	Match Additiona	Description		Submit
latch Source	Match Additiona	Description		Submit
latch Source	Match Additiona	Description		Submit

16. Back at the Reimbursement Invoice and Request Form screen, verify reimbursement amount is correct.

Γ		ODOT Public Tra	nsportation Division		
L	Re	imbursement Inv	oice and Request Form		
Recipient Peanuts Ti 555 13th Salem, O	t ransit St NE Ste 3 R 9 97301		Document No.: Process Date: Effective Date: Execution Date:	1921139 07/01/2 12/21/2	963 019 020
Contact: Phone: Fax: Email:	Charlie Brown 1 (541) 1234567 1 charlie.brown@odot.stat	te.or.TEST	FEIN: TEAMS Id: ODOT Agreement: Version:	123456 CV1234 77889 1	789 56789
Commen Our sup	ts: oporting documentation has	been faxed to PTD	- [Sign with your initials]		
Fiscal Pe	riod: FY2020 Qtr.2: Octobe	r - December 2019			
Ġ Attach	ments Exist				
Item: 11	.13.03 - Bus 30ft		Activity Subgrant Contr Granted	Budget: ribution: e Match:	\$10,000.0 \$8,000.0 \$2,000.0
	Expense Type • Vehicle(s) Purchase	Description Van	Rec	eipt N Total:	Expense Amoun \$10,000.0 \$10,000.0
			<b>Match Source</b> Local State Funds Other	1	Match Amoun \$2,000.00 \$0.00 \$0.00
			Reimbursement Red	Total:	\$2,000.00
	Record Expense	)	Mat	ch Expense	$\supset$
L		Total Rein	nbursement Reques	ted:	\$8,000.0

- 17. If all information is correct, follow one of the two submittal pathways:
  - A. <u>Pathway 1</u>: If you are the Authorized Representative for your Agency:
    - i. Click Complete Step.



- ii. At the Review/Approve screen enter your eResponse Keyword in the provided box.
- iii. Check the box verifying that you agree with the statement 'By checking this box I am certifying that this document is correct to the best of my knowledge and that I am the authorized representative.'

Review/Ap (Test)	prove	
Number: 19211	3963 Control	#: 10091293
Current Step: eResponse Keyword	Issue 2	Submit
? To send a re	equest for a new eResponse Keyword to the Registrar, click on the Go butto	) <b>n.</b> (Go
By checking thi knowledge and * Indicates a requ	s box I am certifying that this document is correct to the best of m that I am the authorized representative. ired field	, ,  ,  ,  ,  ,  ,  ,  ,  ,  ,  ,  ,  ,

- iv. Click Submit.
- B. <u>Pathway 2</u>: If you are not the Authorized Representative for your Agency:
  - a. Select Actions from the Navigation Menu, then select Forward.

Help     Complete Step	Peanuts Tra 555 13th St Salem , OR Salem, OR	nsit : NE Ste 3 97301		
(Issue) 	Contact: Phone: Fax:	Charlie Brown 1 (541) 1234567		
Maintain	Email:	charlie.brown@od		
Actions	Comments Our supp	: orting documentatic		
Check Integrity	Fiscal Period: FY2020 Qtr.2: 0			
Forward	🔁 Attachments Exist			
View Data	Item: 11.1	3.03 - Bus 30ft		
		Expense Type		

b. At the Forward Screen, you will find the document number. The current step will be identified as 'Issue.' (This indicates that the document needs to be 'issued to' the Authorized Representative, not that there is a problem with the RR. Further clarification on this is provided later in the chapter.)

c. In the "Forward To" drop down menu, find and select your Agency's Authorized Representative.

Forward (Test)		
Number: 192113963		Control #: 10091293
		(Submit/Return)
Current Step:	Issue	
Forward To *	Select Person Responsible	*
Send email to agent resp	Select Person Responsible Peanuts Transit	N
* Indicates a required field	Brown, Charlie Van Delt, Lucy	
01/25/2021 11:28 AM	External Vendors OPTIS	

d. Click the "Send email to agent responsible" box to send an OPTIS automated email to the selected person. If your Agency decides not to use this OPTIS feature, it may be helpful to send an email or other notification with the document number to the Authorized Representative letting them know there is action required in their OPTIS queue.

Forward (Test)			
Number: 192113963			Control #: 10091293
			Submit/Return
Current Step:	Issue		
Forward To	* Select Person Responsible		~
Send email to agent res	ponsible for the document?		
* Indicates a required fie	ld	LS.	Selecting this box will send
01/25/2021 11:28 AM			the selected recipient of
			items in their OPTIS queue

e. The Authorized Representative for your agency will receive an email a document has arrived in their OPTIS queue. They will then be able to submit the request following the steps in Pathway 1.

Your request has now been created and issued to PTD for processing. If you are sending related documentation via mail or fax, be sure to send them as soon as possible. The payment cannot be processed until those documents arrive and are attached in OPTIS. You will be contacted only if the payment processor or RTC have questions.

If you would like a copy of this request for your records: with the RR open, click *View PDF* in the Navigation Menu. A printable or downloadable PDF will appear on your screen. Print or save as you choose. This request will also remain available in OPTIS for review at a later date.

#### **Verifying Submittal of Reimbursement Request**

When you submit a RR in OPTIS, a corresponding payment request is auto-generated by the system. PTD uses the payment request to create an invoice document, which will then be processed by ODOT's Financial Services Department. Since the two documents are a pair, they use the same document number in OPTIS.

There are several ways you may verify your RR has been submitted/finalized. One is to open the RR and click *View Data* from the Navigation Menu. Select *Overview Central File*. A window will open with all reimbursement and subsequent payment request information for that agreement.

In the image below, for example, the RR #192113963 has the open book icon next to the document number, so we know what it was submitted to PTD. Further proof of this can be seen in the corresponding payment request with the same document number that was auto-generated by OPTIS.

Overview ( (Test)	(Central File #7788	9 Version 1)	Print	Refresh
Master:	X 2019/2021-9			
Provider View	Central File View	Full View		
Show Details 🄛				
		Sub-Grant Agreement	<u>Reimbursement</u> <u>Request</u>	> Payment Request
		<u> 77889</u> <u>Version 1</u>	<u>Д 192113963</u>	X <u>192113963</u>

Alternatively, go in *My Documents / Document Search*, select "Find by Document Number" in the "Search by" field. Enter the document number of the reimbursement you want to check on in the "Document Number" field.

Document Sea	irch		?
Search By: Document Number:	Find by Document Number	✓ ● Partial Match	
			Search

If the RR returned by the search has an X icon in front of the document number, the document has not been completed.

	Document No	Document Type	Organization Issued By	Status
1	X 192113963	Reimbursement Request	Peanuts Transit	Issue
		2017-2020 R4 5339 Peanuts Transit 77889		

If the RR returned by the search has an open book icon, the document was fully submitted to PTD.

	Document No	Document Type	Organization Issued By	Status
1	<u> 192113963</u>	Reimbursement Request	Peanuts Transit	Complete
		2017-2020 R4 5339 Peanuts Transit 77889		

Conversely, you will notice in the Status column, the request that is still open is in the 'Issue' status, whereas the submitted request has a status of 'Complete'.

Note: The status of 'Issue' in OPTIS does not mean that there is an issue with the RR. The term is meant in the sense 'you are issuing the document' not as in 'there is an error.' To check if your request has an error, you may run an Integrity Check. See next section for further details.

#### **Integrity Check**

As mentioned in the section above, you may want to check if there are any errors in your request before you proceed. To do this, you can run an Integrity Check. In the request, under *Actions*, select *Check Integrity*.

The Integrity Check window will pop up telling you what errors were found in your request, if any. The ideal result is 'Document OK' which means everything makes sense to OPTIS.



If you are reimbursing a vehicle purchase, you may see 'Integrity Check Warning' informing you of a 'Missing provider's periodic report: (specific biennium quarter/dates)'. For all other expenses, if you have not submitted your periodic report for the quarter you are making a reimbursement, you will receive an 'Integrity Check Fail' as explained in the beginning of this chapter. However, an exception is made for vehicle purchases because PTD knows that it can be difficult to carry the large expense of a vehicle until the end of a quarter when the periodic reports are typically submitted.

If you receive an 'Integrity Check Failed' message, the problem must be addressed before you will be able to submit the request for processing. The *Submit* button will not be available to you. There are any number of reasons you may receive an 'Integrity Check Failed' result and those errors will be listed out in the window.



Common examples include:

- 'Missing provider's periodic report: (specific biennium quarter/dates)'.
- 'This activity type requires supporting documentation for reimbursement. Attachment(s) are required'.
- 'Expense amount cannot be greater than sub-grant item total cost'.
- 'Expense date not within quarter'.
- 'Expense date before effective date'.
- 'Requested amount plus match amount does not equal expense amount'.

Regardless of whether or not you run an Integrity Check, OPTIS will check your reimbursement's integrity when you attempt to Complete Step, and certain errors, if found, may prevent submittal. As seen in the example above, OPTIS will tell you where it found problems so you can fix them.

Contact your RTC or the support analyst for your region if you are unable to solve the problem OPTIS has found. Keep in mind the Integrity Check does not validate the accuracy of the data you entered, only if it is in a form that OPTIS will understand. Always double check your work.

## **Correcting a Reimbursement Request**

As stated earlier in this chapter, when you submit your RR in OPTIS, a corresponding payment request is auto-generated. This is important to note when talking about correcting RRs that have already been submitted. Before they can be re-opened and returned for you to make your edits, PTD will first have to delete the corresponding payment request. This is easily done on our end. We will then re-open the RR and forward it back to your OPTIS queue for edits.

- a) If you discover an error and want to start over:
  - i. Contact your region's support analyst or RTC and explain that you wish to reopen or delete an RR. Have the document number available.
  - ii. The support analyst or RTC will delete the corresponding payment request in OPTIS, reopen the RR, and forward it to your queue. You will receive email notification once this is complete.
  - iii. The RR is now ready for you to delete or make changes to.
- b) To delete an RR:
  - i. Open the RR.
  - ii. Select *Maintain* from the Navigation Menu.
  - iii. Select Delete.
  - iv. Click *Submit/Return*. Once you do so, the document will no longer exist.

Complete Step	555 13th St I Salem , OR Salem, OR 91		
(Issue)	Contact: Phone: Fax:		
Maintain	Email:		
►Actions	Comments: Our suppo	Delete (Test)	Control # 10001293
Check Integrity     Delete	Fiscal Perio		Submit/Return
Forward	🔄 Attachme	Current Step: Issue	Submit/ Return
View Data	Item: 11.13	Clicking 'Submit Return' will delete the entire document.	

- c) To modify/fix an error in a RR after PTD reopens it:
  - i. Make sure it is in your queue. (See next section).
  - ii. Select *Maintain* from the Navigation Menu.
  - iii. Select any of the areas to reopen.
  - iv. Make necessary changes.
  - v. Complete as normal.



- Number
- Information
- Comments
- Select Activity
- Attachments

## **Document Management**

It may be necessary for multiple people to access a document before completion. A common reason for this is when one individual has privileges to create a document, but not to authorize ('Complete Step') the document. To facilitate the process of moving documents between co-workers, OPTIS uses a forwarding function. Only when a document is in a user's queue will they be able to edit and (with required privilege) authorize.

There are a number of ways to check if a document is in your queue. If you are already in the RR, take note of the color the words 'Complete Step' on the Navigation Menu. If they are black, the document is in your queue and you may act upon it. If they are grey, it means the document is in someone else's queue and you will not be able to make edits. Depending on your privileges you may be able to forward the document to yourself.



When a document needs to be worked on by more than one user, you can forward the document to the responsible party using the forward option in the Navigation Menu (that is *Actions / Forward*). Once you are at the Forward window, select the next party to receive the document from the drop down "Forward to" menu. Make sure to check the box to send them an email letting them know the document is now in their queue.

Complete Step	Salem, OR 97301		
(Issue)	Contact:	Charlie	
	Phone:	1 (541)	
Maintain	Fax: Email:	charlie.	
►Actions	Comments Our supp	s: porting do	
Check Integrity	Fiscal Period: FY20		
Delete     Forward	🔁 Attachm	ents Exis	
View Data	Item: 11.1	13.03 - Bi	

Forward (Test)		
Number: 192113963		Control #: 10091293
		Submit/Return
Current Step:	Issue	
Forward To	* Select Person Responsible	~
Send email to agent res	ponsible for the document?	
* Indicates a required fiel		Selecting this box will send
01/25/2021 11:28 AM		the selected recepient of
		items in their OPTIS queue