



State of Oregon Department of Environmental Quality

Planning Loan Application

Clean Water State Revolving Fund

Contact: [Regional Project Officer](#)

Please answer all questions in this application. If a question does not apply, please mark “not applicable.”

DEQ accepts completed, signed applications that are submitted either electronically or in hard copy by close of business on the application due date.

Applicant Information

1. Public Agency/Legal Applicant:

Name:			
Address:			
City, State:	Zip:	County:	Federal Congressional District:
Agency Website:			

2. Only public agencies are eligible for Clean Water State Revolving Fund. Does your agency meet the definition of a “public agency” as defined by [ORS 468.423](#)?

<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
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3. Identify the type of your public agency:

<input type="checkbox"/>	Tribal Government	<input type="checkbox"/>	City	<input type="checkbox"/>	School District
<input type="checkbox"/>	County	<input type="checkbox"/>	Sanitary District or Sanitary Authority	<input type="checkbox"/>	County Service District
<input type="checkbox"/>	State Agency	<input type="checkbox"/>	Irrigation district	<input type="checkbox"/>	Metropolitan Service District
<input type="checkbox"/>	Other Special District (please specify):				
<input type="checkbox"/>	Intergovernmental Agency (please specify):				

Translation or other formats

[Español](#) | [한국어](#) | [繁體中文](#) | [Русский](#) | [Tiếng Việt](#) | [العربية](#)

800-452-4011 | TTY: 711 | deqinfo@deq.oregon.gov

4. Is your agency registered in SAMs ([SAMs home page](#))?

	Yes		No	
If no, please explain:				
SAMs Unique Identifying Number:				

5. Does your agency have authority to take on debt?

	Yes		No
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If yes, please provide reference to the relevant ORS, or local ordinance, law, charter or regulation:

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If no, explain:

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6. Project Contact:

Name:	Dept./Organization:	Title:
Telephone:	Email:	

Budget and Schedule

7. CWSRF loan amount requested:

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8. Total estimated project cost:

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9. Please fill out Tables A and B below on planning effort cost and funding. Total funding in Table B must be equal to total costs in Table A.

Table A. Planning Effort Budget		
Type	Total Planning Effort Budget	Amount funded by CWSRF
Administration and Legal		
Preliminary Expense		
Basic Engineering		
Other Engineering		
Other:		
Total Costs		

Table B. Funding Sources	
Source	Amount
DEQ Clean Water State Revolving Fund (Permanent)	
Business Oregon Special Public Works	
Business Oregon Water/Wastewater	
Business Oregon Community Development Block Grant	
USDA Rural Development (No Interim Funding)	
USDA Rural Development (With CWSRF Interim Funding)	
USDA Natural Resource Conservation Service	
General Obligation Bonds	
Revenue Bonds	
Local Funds (note source of funds below):	
In-Kind Assistance	
Other:	
Total Funding (must equal total cost in Table A)	

10. Please note any existing sewer related debt service (before CWSRF funding). Attach additional documentation as necessary.

Type	Current Balance	Interest Rate	Year Issued	Annual Payment	Bond Rating
General obligation bonds					
Sewer Revenue Bonds					
Other Debt					

11. Proposed planning effort schedule (Month, Year):

Estimated planning start date:	
Estimated planning completion date:	
Please explain if the estimated planning start date is <i>before</i> the loan application date or the date a loan will be signed:	

Compliance Information

12. If applicable, indicate the water quality permit information associated with the proposed project:

Type	Yes/No	Identification Information
National Pollutant Discharge Elimination System Permit		(EPA reference number beginning with "OR"):
Water Pollution Control Facility Permit		(Permit number):
Municipal Separate Storm Sewer System Permit (MS4)		(Phase):
Clean Water Act 401 Certification		(USACE Permit Application Number):
Not Applicable or Unknown		

13. From the above referenced information, please select which of the following applies:

	Permit renewal needed		Renewed/Current/No Change
	Permit modification needed		Permit in progress
	New permit		Administratively Extended
	Not applicable		

14. If applicable, does the planning effort address:

	A compliance concern
	Compliance schedule
	A Mutual Agreement and Order (MAO)
	N/A

Project Description

Use this section to describe the objectives, components and expected outcomes of the plan. The loan agreement will refer to this section in defining what expenses can be reimbursed.

15. Planning Effort Summary

Name of project:	
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Summarize the purpose of the proposed planning effort, including any water quality benefits and public health objectives (3 to 4 sentences):

Describe the major components of the planning effort (for example, descriptions of planning area, existing facilities, financial implications, alternatives, and final conclusions/recommendations):

Describe how the proposed planning effort will address the water quality benefits and public health objectives. Will the scope of the planning effort include more than one water quality benefit, pollutant or restoration effort, including but not limited to temperature, dissolved oxygen, contaminated sediments, toxic substances, bacteria or nutrients?:

Give any other pertinent information that explains why this planning effort is proposed:

16. Does the planning effort incorporate other resources including, but not limited to, project timing, in-kind support, other funding sources or a partnership with a governmental, tribal or non-governmental organization?

	Yes		No
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Please describe:

17. Explain the long-term planning effort that addresses financial, managerial or technical capability, or asset planning. Include any tools, resources, or other strategies that will help assess operations, manage assets, and measure performance over time (i.e. Effective Utility Management (EUM), Lean Six Sigma, Sustainable Utility Management (SUM) or setting aside funds for capital improvement projects).

18. Explain how your planning effort scope sets out to establish long term reliability and viability of a facility, or a water resource, based on the definitions of sustainability and natural infrastructure provided below.

Sustainability means long-term reliability and viability of finance, operations, environmental performance or technology, or the use of natural infrastructure.

Natural infrastructure means the use of natural form and ecosystem function to restore or augment the intended water quality benefits of a project.

19. Will the scope of the planning effort demonstrate cost effectiveness by considering three or more project alternatives such as optimizing an existing facility, regional partnership or consolidation?

	Yes		No
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List the project alternatives the scope of this planning effort will be considering and explain what makes them cost effective:

a.

b.

	Pesticides and Herbicides
	Nanomaterials (NM)
	Fire Suppressants
	UV Filters
	N/A
	Other, please specify:

Describe how the proposed planning effort will address the emerging contaminants known to occur in its vicinity. If applicable, attach any qualitative and/or quantitative supporting documentation (i.e., visual monitoring, anecdotal evidence, sampling results).

22. Project Categories

Please enter all numbers as decimals (i.e., 23.34% =0.2334).

Project Category	Description	% CWSRF Funding
Centralized Wastewater Treatment	Secondary Treatment Plant (includes, but is not limited to: new, expansion, improvements; effluent disposal; biosolids treatment, biosolids disposal, water reuse)	
Centralized Wastewater Treatment	Advanced Treatment	
Centralized Wastewater Treatment	Infiltration/Inflow	
Centralized Wastewater Treatment	Sewer System Rehabilitation	
Centralized Wastewater Treatment	New Collector Sewer	
Centralized	New Interceptor	

Wastewater Treatment		
Centralized Wastewater Treatment	Combined Sewer Overflow (CSO) Correction	
Stormwater	Gray Infrastructure	
Stormwater	Green Infrastructure	
Energy Conservation	Energy Efficiency	
Energy Conservation	Renewable Energy	
Water Conservation	Water Efficiency	
Water Conservation	Water Reuse	
Nonpoint Source	Agriculture – Cropland (i.e., conservative tillage, nutrient management, irrigation improvements)	
Nonpoint Source	Agriculture – Animals (i.e., animal waste storage, animal waste management, composting facilities)	
Nonpoint Source	Silviculture (streamside buffers, revegetation)	
Nonpoint Source	Stormwater	
Nonpoint Source	Groundwater	
Nonpoint Source	Marinas	
Nonpoint Source	Brownfields	
Nonpoint Source	Storage Tanks	
Nonpoint Source	Sanitary Landfills	
Nonpoint Source	Hydromodification/Habitat Restoration (e.g., swales, wetland development, shore erosion control)	
Nonpoint Source	Land Conservation	
Other	Planning and Assessments	
Other	Estuary (§320) Assistance	
Other	Desalination	
Total		

Waterbody Information and Water Quality/Public Health Benefits

23. Provide the name, eight-digit [Hydrologic Unit Code](#), and location of waterbody to be addressed as part of the proposed planning effort:

Primary affected waterbody		8-digit HUC#	
Other affected waterbody		8-digit HUC#	

Latitude WGS84:		Longitude WGS84:	
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24. Discharge addressed by proposed planning effort (check all that apply):

<input type="checkbox"/>	No Change	<input type="checkbox"/>	Surface water (stream river, lake)	<input type="checkbox"/>	Wetland
<input type="checkbox"/>	Estuary/Coastal	<input type="checkbox"/>	Ocean outfall	<input type="checkbox"/>	Groundwater
<input type="checkbox"/>	Land Application/Reuse	<input type="checkbox"/>	Seasonal discharge	<input type="checkbox"/>	No Discharge
<input type="checkbox"/>	Eliminates discharge	<input type="checkbox"/>	Other, please specify:		

25. Indicate if the proposed plan will address protection or restoration of beneficial use(s) of the waterbody. If the proposed plan addresses both protection and restoration, indicate which beneficial uses are primary and which are secondary. If one doesn't apply, select N/A. Information on [beneficial uses of Oregon's waters](#) is available online.

Beneficial Use	Protection		Restoration		N/A
	Primary	Secondary	Primary	Secondary	
Domestic Water Supply					
Fishing					
Industrial Water Supply					
Boating					
Irrigation/Water Contact					
Recreation					
Livestock Watering					
Aesthetic Quality					
Fish and Aquatic Life					
Wildlife and Hunting					
Commercial Navigation and Transportation					
Hydropower					
Infrastructure Improvements					
Water Reuse/Recycling/Conservation					
Groundwater Protection					
Drinking Water Supply (e.g., groundwater)					

Wetland Restoration					
Security					
Industrial					
Riparian Restoration					
Other (please describe below):					

26. Please answer the following questions on Other Uses and Outcomes.

Does this project contribute to Regionalization/Consolidation?		Yes		No
Does this project address Nutrient Loadings of Nitrogen and Phosphorus?		Yes		No
Does any element of the project, including planning and design, have to do with avoiding, withstanding, or recovering from a disaster of any sort?		Yes		No
Does this project contribute to Public Health (e.g. Pathogen Reduction)?		Yes		No

27. Will the proposed planning effort address water quality or public health issues within any of the below? (check all that apply). Please attach a map to the application with location(s) and proximity to waterbodies clearly indicated.

Federally designated Wild and Scenic River	Tillamook Bay Estuary
Federally designated sole source aquifer	Lower Columbia River Estuary
State designated scenic waterway	Designated Ground Water Management Area
River designated under OAR 340-041-0350 (Three Basin Rule): The Clackamas River Subbasin, the McKenzie River Subbasin above the Hayden Bridge (river mile 15), or the North Santiam Subbasin.	Wetland or riparian area listed by state or local government
None of the above	

28. Proposed plan supports the implementation of which of the following:

Existing Total Maximum Daily Load (TMDL)	DEQ water quality status and action plan
Projected TMDL	Designated GWMA Action Plan declared under ORS 468B.180

	Other qualifying plan, please specify: (i.e. Estuary Management Plan, adopted Watershed Assessment, etc.)	
	None of the above	
Specify which TMDL, Plan, or GWMA, and associated parameter, that the planning effort will address:		

Is your agency a Designated Management Agency with implementation plan requirements under the TMDL?

<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
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Population Data

Refer to the Portland State University [Population Research Center](#) to complete question 29. Please use proposed planning effort’s county data if the relevant community is not listed.

29. Service area data:

Population served by current system:	
Estimated population served by proposed project:	

Does the population served by current system meet the definition of a small community (defined as 10,000 or fewer people)?

<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
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30. Does your community have a ratepayer hardship program in place?

A ratepayer hardship program is a service offered by a public agency as defined by ORS 468.423, Tribe or other CWSRF eligible borrower that offers financial assistance for individual rate payers (users) based upon economic or financial need.

<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
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Please confirm that the ratepayer hardship program incorporates each of the following:

<input type="checkbox"/>	Assists a particular population of the community for economic assistance.
<input type="checkbox"/>	Indicates how a rate payer would qualify for their services.
<input type="checkbox"/>	Is active and ongoing or permanent (cannot be a limited-duration program).
<input type="checkbox"/>	Has a publicly available form, system, or notice of their current services and assistance offerings, or other documentation available that describes the rate payer hardship program.

Please provide a link or attach documentation that demonstrates how your program meets each of the above requirements:

Attachments

As applicable, please check the box for each attachment that will be submitted with this application.

Included?	Question Number	Document Type
	10	Additional existing sewer related debt service documentation
	21	Qualitative or Quantitative supporting documentation of emerging contaminants in the vicinity of the proposed project
	27	Map of project location and proximity to waterbodies clearly indicated
	30	Ratepayer hardship documentation
	N/A	Other optional attachment to support proposed project

Additional Information

This application provides the necessary information for DEQ to determine eligibility, scoring, ranking and to complete reporting requirements for the proposed project. Once deemed eligible and scored, the project will be included in the Clean Water State Revolving Fund Intended Use Plan and the applicant can then complete the remaining required documents to secure a loan. To receive CWSRF program updates, sign up for [GovDelivery](#). You may unsubscribe at any time.

Learn about additional DEQ water quality funding opportunities here:

- [Sewer Overflow and Stormwater Reuse Municipal Grants Program](#)
- [Onsite Septic Financial Aid Program](#)
- [Nonpoint Source Implementation Grants \(\\$319 Grants\)](#)
- [Supplemental Environmental Projects](#)

Certification

The public agency or applicant certifies that:

- Clean Water State Revolving Fund loan proceeds will be used only for the project described in this application and that project work will be consistent with project objectives.
- The public agency or applicant will comply with all applicable rules and laws.
- The public agency or applicant will obtain all applicable local, state, and federal permits, approvals, and licenses, and comply with their terms and conditions.
- The undersigned is duly authorized to request this loan on behalf of the public agency.
- The public agency or applicant declares under penalty of law that all facts given and information attached are true and correct.
- The public agency or applicant authorizes DEQ to verify all information.

Authorized Signature	Date
Typed Name	Title

Return the completed application to your DEQ Project Officer. A list of Clean Water State Revolving Fund staff is available [online](#).

Non-discrimination statement

DEQ does not discriminate on the basis of race, color, national origin, disability, age or sex in administration of its programs or activities. Visit DEQ's [Civil Rights and Environmental Justice page](#).