

**DIVISION 2**  
**PROCEDURAL RULES**

**Rulemaking**

**Investigative Subpoenas and Enforcement of Subpoenas  
by Civil Rights Division and Wage and Hour Division**

**839-002-0015**

**Purpose and Scope**

(1) ORS 651.060(1) authorizes the commissioner to conduct investigations in all matters relating to the duties required under ORS 279C.800 to 279C.870, 651.030, 651.050, 651.120 and 651.170, and ORS Chapters 652, 653, 658, and 659A.

(2) While conducting these investigations, ORS 651.060(1) gives the commissioner the authority to issue subpoenas ad testificandum and subpoenas duces tecum, administer oaths, obtain evidence and take testimony.

(3) These rules govern the commissioner's gathering of information through subpoenas or testimony and establish procedures through which a subpoenaed party may object to answering questions or producing any document or other thing subpoenaed.

Stat. Auth.: Chapter 277, Oregon Laws 2007

Stats. Implemented: Chapter 277, Oregon Laws 2007

**839-002-0020**

**Definitions**

(1) "Division" means the Civil Rights Division and Wage and Hour Division in the Bureau of Labor and Industries.

(2) "Document" means any existing written, printed, typed, or recorded matter of any kind or nature, however produced or reproduced, including but not limited to all mechanical, electronic, sound or video recordings or their transcripts, photographs, electronic files and computer stored data.

(3) "Other thing" means any existing tangible object that is not a "document."

(4) "Party" means any person who has been served by a subpoena under these rules.

(5) "Person" means any individual, partnership, corporation, association, governmental subdivision, or public or private organization of any character.

(6) "Subpoena ad testificandum" is a subpoena that requires an individual to appear and give testimony under oath.

(7) "Subpoena duces tecum" is a subpoena that requires the production of documents or other things.

Stat. Auth.: Chapter 277, Oregon Laws 2007

Stats. Implemented: Chapter 277, Oregon Laws 2007

**839-002-0025**

## **Who and What May Be Subpoenaed**

The commissioner may issue subpoenas to persons to compel testimony and the production of documents or other things that are relevant to the commissioner's lawful investigative purpose and reasonable in scope under matters relating to the duties required under ORS 279C.800 to 279C.870, 651.030, 651.050, 651.120 and 651.170, and ORS Chapters 652, 653, 658, and 659A.

Stat. Auth.: Chapter 277, Oregon Laws 2007

Stats. Implemented: Chapter 277, Oregon Laws 2007

### **839-002-0030**

#### **Circumstances under Which a Subpoena May be Issued**

(1) The commissioner may issue a subpoena ad testificandum to compel an individual to testify under oath when:

(a) A Division determines that the individual is a material witness in an investigation being conducted by the Division under ORS 279C.800 to 279C.870, 651.030, 651.050, 651.120 and 651.170, or ORS Chapters 652, 653, 658, and 659A;

(b) The information sought from the individual is relevant to a lawful investigative purpose and is reasonable in scope; and

(c) The Division has been unable to interview the individual after having made reasonable attempts to do so, or the individual states that he or she will only consent to an interview if first served with a subpoena.

(2) The commissioner may also issue a subpoena ad testificandum to compel an individual to testify under oath about the contents of documents or other things produced in response to a subpoena duces tecum served on the same individual.

(3) The commissioner may issue a subpoena duces tecum to compel a person to produce documents or other things when:

(a) A Division determines that the documents or other things are relevant to the Division's investigation being conducted under ORS 279C.800 to 279C.870, 651.030, 651.050, 651.120 and 651.170, or ORS Chapters 652, 653, 658, and 659A;

(b) The documents or other things sought are relevant to a lawful investigative purpose and are reasonable in scope; and

(c) The Division has made a written request for production of documents or things and the person to whom the request was made has failed to comply within the time specified by the Division, unless the commissioner finds a subpoena is necessary to protect the documents and things from destruction.

Stat. Auth.: Chapter 277, Oregon Laws 2007

Stats. Implemented: Chapter 277, Oregon Laws 2007

### **839-002-0035**

#### **Who May Issue Subpoenas**

The commissioner or the commissioner's designees may issue subpoenas.

Stat. Auth.: Chapter 277, Oregon Laws 2007

Stats. Implemented: Chapter 277, Oregon Laws 2007

### **839-002-0040**

#### **Subpoena Duces Tecum**

- (1) A subpoena duces tecum may be issued to any individual who has custody, possession, or control of documents or other things named in the subpoena duces tecum when the conditions set out in OAR 839-026-0100(3) have been met.
- (2) A subpoena duces tecum will not require production of documents or other things less than 14 days from the date of service upon the individual required to produce and permit inspection of the documents or things unless the commissioner finds a shorter period necessary to protect the documents and things from destruction or if the Division has an immediate need for the documents or things being subpoenaed.
- (3) The commissioner may also command the individual to whom a subpoena duces tecum is issued to produce documents and other things by mail or otherwise, at a time and place specified in the subpoena, without commanding inspection of the originals. The individual to whom the subpoena is directed complies if the individual produces copies of the specified items in the specified manner and certifies that the copies are true copies of all documents and other things responsive to the subpoena.
- (4) The subpoenaed documents and other things must be produced at the location, time, and date required in the subpoena.

Stat. Auth.: Chapter 277, Oregon Laws 2007

Stats. Implemented: Chapter 277, Oregon Laws 2007

#### **839-002-0045**

##### **Subpoena Ad Testificandum**

- (1) A subpoena ad testificandum may be issued to any individual when the conditions set out in 839-026-0015(1) or 839-026-0015(2) have been met.
- (2) The subpoena ad testificandum must give the individual a reasonable time for preparation and travel to the place of attendance and the place of attendance must be in a suitable place in the vicinity to which testimony is applicable.

Stat. Auth.: Chapter 277, Oregon Laws 2007

Stats. Implemented: Chapter 277, Oregon Laws 2007

#### **839-002-0050**

##### **Method of Service**

- (1) Except as noted in subsections (2) and (3) of this rule, subpoenas must be served in person by delivering a copy to the witness personally and, at the same time, giving or offering to the witness the fees to which the individual is entitled for travel to and from the place where the witness is commanded to appear, along with one day's attendance fee. A subpoena may be served by any individual 18 years of age or older.
- (2) Subpoenas may be served by mail under the following circumstances:
  - (a)(A) The Division must have, by personal or telephone contact, confirmed the witness's willingness to appear if subpoenaed and certify this on the return of service;
  - (B) The Division made arrangements for payment to the witness of fees and mileage satisfactory to the witness and pays those fees and mileage; and
  - (C) The subpoena is sent by certified mail to the witness more than 10 days before the date set for appearance or production of documents or other things and the Division receives a return receipt signed by the witness more than three days prior to that date.
- (b) A subpoena duces tecum commands production of documents or other things but is not accompanied by a subpoena ad testificandum.

(3) All subpoenas must be accompanied by a copy of these rules. If a subpoena is served without a copy of these rules, the person served is not bound by the subpoena.

Stat. Auth.: Chapter 277, Oregon Laws 2007

Stats. Implemented: Chapter 277, Oregon Laws 2007

### **839-002-0055**

#### **Fees**

All persons subpoenaed by the commissioner must be paid the mileage and per diem set out in ORS 44.415(2).

Stat. Auth.: Chapter 277, Oregon Laws 2007

Stats. Implemented: Chapter 277, Oregon Laws 2007

### **839-002-0060**

#### **Time and Manner of Objecting to Subpoenas**

(1) Any person served with an investigative subpoena may object to testifying or providing the documents or other things sought. Grounds for objections include:

(a) The information sought is irrelevant to a lawful investigative purpose;

(b) The information sought is unreasonable in scope;

(c) The witness is ordered to appear to give testimony in a place that is not suitable or not in the vicinity to which the testimony is applicable;

(d) The time and expense involved in copying the documents sought. In order to have this objection considered, a person making this objection must include a written estimate of the time involved and number of copies to be made in order to comply with the subpoena;

(e) Reasonable cause to refuse to comply; and

(f) Any other basis that may be asserted under Oregon law.

(2) Objections to subpoenas must be in writing and must be received by the Division at least seven calendar days before the time that the witness is subpoenaed to testify or

provide documents or other things. (3) If a subpoenaed witness refuses to answer specific questions while giving testimony, the witness must state the reason for his or her objection at the time that the witness refuses to answer the questions.

Stat. Auth.: Chapter 277, Oregon Laws 2007

Stats. Implemented: Chapter 277, Oregon Laws 2007

### **839-002-0065**

#### **Response to Objections**

(1) The Division will respond in writing, to any objections timely received under OAR 839-026-0045(2).

(2) If the objection made is the time and expense involved in copying the documents sought, the Division will provide a check to the person subpoenaed to pay for the estimated time and expense, calculated at the rates set out in OAR 839-030-0010. The Division may provide this check before or at the time the witness is subpoenaed to provide documents or other things.

Stat. Auth.: Chapter 277, Oregon Laws 2007

Stats. Implemented: Chapter 277, Oregon Laws 2007

**839-002-0070**

**Method of Taking Testimony**

(1) When a witness appears to give testimony in response to a subpoena ad testificandum, an oath or affirmation will be administered to the witness prior to his or her testimony. The oath or affirmation will be administered by an officer authorized to administer oaths in Oregon, generally a notary public employed by the Bureau of Labor and Industries.

(2) The witness's testimony will be preserved by an audio or video recording. Upon request, the Division will give the witness a copy of the recording at no cost.

Stat. Auth.: Chapter 277, Oregon Laws 2007

Stats. Implemented: Chapter 277, Oregon Laws 2007

**839-002-0075**

**Failure to Appear**

If a person served with a subpoena fails to appear and has not filed any prior objections, the commissioner will conclude that the person has refused, without reasonable cause, to answer any question or to produce any document or other thing.

Stat. Auth.: Chapter 277, Oregon Laws 2007

Stats. Implemented: Chapter 277, Oregon Laws 2007

**839-002-0080**

**Enforcement of Subpoena**

If a person served with a subpoena refuses, without reasonable cause, to be examined, to answer any question or to produce any document or other thing as required by the subpoena, the commissioner may petition the circuit court in the county in which the investigation is pending for an order directing the person to show cause why the person has not complied with the subpoena and should not be held in contempt. The commissioner shall serve the court's order upon the person in the manner provided by ORCP 55 D.

Stat. Auth.: Chapter 277, Oregon Laws 2007

Stats. Implemented: Chapter 277, Oregon Laws 2007